

HANDOVER NOTES FROM DAVE KING - 02/09/98

HORIZON/POCL SIE Roles

Horizon Technical Assurance will continue to be responsible for the security of the technical aspects of the service provided by ICL Pathway Ltd, and ensuring it meets the requirements and standards of the sponsors. Horizon business assurance will perform the same function in respect of the business procedural side of the service.

The main point of contact between Horizon and the POCL security community will be through Ruth Holleran, for the operational aspects, and Peter Charlton, for the technical aspects. Within Horizon, the technical assurance team is managed by Jeremy Folkes GRO, and the business assurance team by Colin Oudot GRO GRO.

Horizon Product Assurance will continue to ensure that Pathway comply with the requirements specified by the sponsors, and work with Pathway towards achieving an acceptable service.

There are a number of areas which have previously been dealt with by Horizon, but which are, strictly, outside of the contracted service, and should properly be dealt with by the sponsor organisations directly.

Information Systems Security Issues

These issues appear to be proper to Mike Harris as the POCL Information Systems Security Manager. Historically a number of these issues have been taken forward by Horizon as the role of IS security manager had not been allocated within POCL. As a result, the standards for the service provided by ICL Pathway Ltd were formulated from BS7799, and the DSS DITSS documents, and through consultation with the sponsors.

The responsibility of the IS Security Manager with respect to Horizon is to be the main contact in POCL for assurance that the standards being applied to the IS system provided by ICL Pathway Ltd, comply with those of POCL. This will involve the review, and sign-off, of various documents detailing technical and procedural aspects of the service.

Technical assurance will conduct audits of the physical security at sites in their assurance role, but will apply the standards of the sponsor organisations. Documented standards will be required by Ian Stevenson in order to conduct that work.

In the area of EPOSS Access controls, which are being dealt with by business assurance (Graeme Seedall) the IS Security Manager will need to provide the appropriate standards to be applied, for instance parameters password control. There are also procedures required for the registration of users, and the provision of non-standard access to the office platform. These need to be formulated to comply with

the standards of the business.

The design to date has been to comply with BS7799, DITSS, and Post Office corporate standards, and a considerable amount of work has already been done. There would not appear to be a great deal of design work needed, unless POCL standards deviate dramatically from those already applied.

Horizon will continue to monitor security events which arise in the Pathway service, but there will need to be a contact within POCL, and a feed into, and out of, POCL's own Security Event Management system.

Documents detailing the security aspects of the service will be provided, together with an update on the current position, prior to my departure

Business/Operational Security Issues

The Fraud Case Management Service, in so far as the BA contracted service, will continue to be managed by Horizon business assurance (John Cunningham) until transfer of responsibility to BA Security. A demonstration of the Fraud Case Management Service has been arranged for 17/9, and any further negotiations with regard to undertaking a scoping exercise on behalf of POCL should be direct with Graham King. If POCL do decide to purchase Pathway system Horizon business assurance will negotiate with Pathway for the provision of a service to satisfy the requirements provided by POCL. The technical assurance of the service provided would be dealt with by horizon Technical assurance.

The slides used during the Horizon facilitated Regional Awareness visits have been passed to POCL SIE, and any further such events will be undertaken by Bob Martin.

Horizon Service Management currently pass details of any incidents with a security implication to Sarah Jury-Önen or John Cunningham. Where these indicate the possibility of fraud within the BA services, they are passed to BA Security. There needs to be a similar link for POCL related incidents, into SIE, and this should be negotiated directly with service management.

Security Assurance, acceptance, and release authorisation will continue to be managed by Horizon, and recognised channels into the sponsor organisations have been instituted. For POCL, the contact points with Horizon are Ruth Holleran, for business matters, and Peter Charlton, for technical matters. The previous SLA between SIE and the, now defunct, Fraud and Security Group, should now be with these people. SIE will need to take more ownership of the security related business requirements, which appear across a number of Acceptance Test Specifications.

Contact with BA Security, currently Darryl Dixon, will need to be maintained to ensure that access to POCL information, supplied to BA security for fraud and risk management, continues. There is a need to ensure that the interests of POCL with regard to the investigation of any suspected dishonesty by their staff, and agents, are protected.

The POCL business owner for the Benefit Payment Service is Mike Hannon, to whom queries may be addressed, and who will require input to the security related aspects of that service. A similar role for the other automated products is taken by Mark Burley.

Business security controls, await contact from Sarah JO. **[DN: Bob, I'm not sure what this means.]**

Security Testing will continue to be managed by Horizon, and an established forum is in place for the review of any test failures. Input from SIE will be required towards the end to the testing phases for the allocation of business impact to any technical deficiencies, and procedural work-arounds.

Work has been undertaken under the guise of Business Audit, with Tom Patterson, to cover various aspects which have a security and audit interest. Contact with this group should be maintained to ensure that continued consideration is given to the needs of POCL staff investigating potential dishonesty.

The technical tests which are required to ensure the system can be certified under PACE are being devised by technical assurance and Pathway. The procedures for the production of the certificates, and any joint work with BA Security on the formulation of a "test" case should be undertaken by SIE. These procedures, in so far as they are completed by ICL Pathway, are part of the Security Assurance Specification, and the subject of a separate document. A copy of the current proposed Pathway certificate, which has been reviewed by both BA and POCL lawyers, will be provided.

SIE will be responsible for the formulation of relevant procedures for the conduct of investigations, and prosecution, and copies of the previous work by Horizon on this subject will be provided.