

PATHWAY/CONSIGNIA AUDIT & SECURITY PANEL**MEETING NOTES :** Meeting #212th October @ Rowland Hill House (PON)

Present :	Susan Kinghorn	[SK] Consignia Group Audit
	Rashpal Dhesei	[RD] Consignia Internal Audit
	Jan Holmes	[JH] Pathway Internal Audit
Apologies :	Graham Hooper	[GH] Pathway Security
	Charles Leighton	[CL] PON Security

1. [RD] was introduced by [SK] as current Consignia Group Audit representative and replacement for Gary Potts who has moved on to a new role. [JH] reiterated the purpose of the ASP for [RD] benefit.

[SK] explained revised Consignia Audit organisation and that she would be taking on new responsibilities within CGIA.

Action : [JH] to email ATFS, HSAM and Extraction Procedure to [RD]
2. No Agenda had been fixed other than to review the items covered at the June meeting. The meeting progressed on that basis.
3. **OBCS Audit.** [SK] reported that the report had been delivered to BA and that BA had been critical of the coverage insofar that PON/Pathway had not completely closed the 'transactions back to ESNS' loop by means of a confirmation file report. No further action to be taken.
4. **Broken Audit Trail.** This was covered in some detail. [JH] explained for [RD] benefit how audit solution works, ie two independent archives at two separate locations; DLTs not copies of each other but independently written.

[JH] Explained progress on recovery work and confirmed, by phone after meeting, that recovery would be using standard processes and there would be no transformation of data other than that which occurs through normal processing.

[RD] enquired about policy to DLT 'retirement'. Agreed would check this out during DC visits.
5. **Data Centre Audit.** [SK] confirmed that she would like [RD] to be present as an observer during this work. [JH] notified start date and confirmed that would inform [RD] of actual Data Centre visit dates. Confirmed that [RD] will NOT be accompanying [GH] on Belfast element of audit.
6. **NB Requirements.** [SK] wanted confirmation that we had received and understood data extraction requirements. [JH] confirmed that volumes were defined and were being incorporated into Schedule N01 and the Pathway response.
8. **Joint Audits.** [SK] identified two potential areas although could not confirm at this time. Ernst & Young may identify work to be done and the OBCS audit may be an annual event, contrary to what was previously thought.
9. **Any Other Business.** None

Date of Next Meeting : January 2002. To be arranged nearer time.