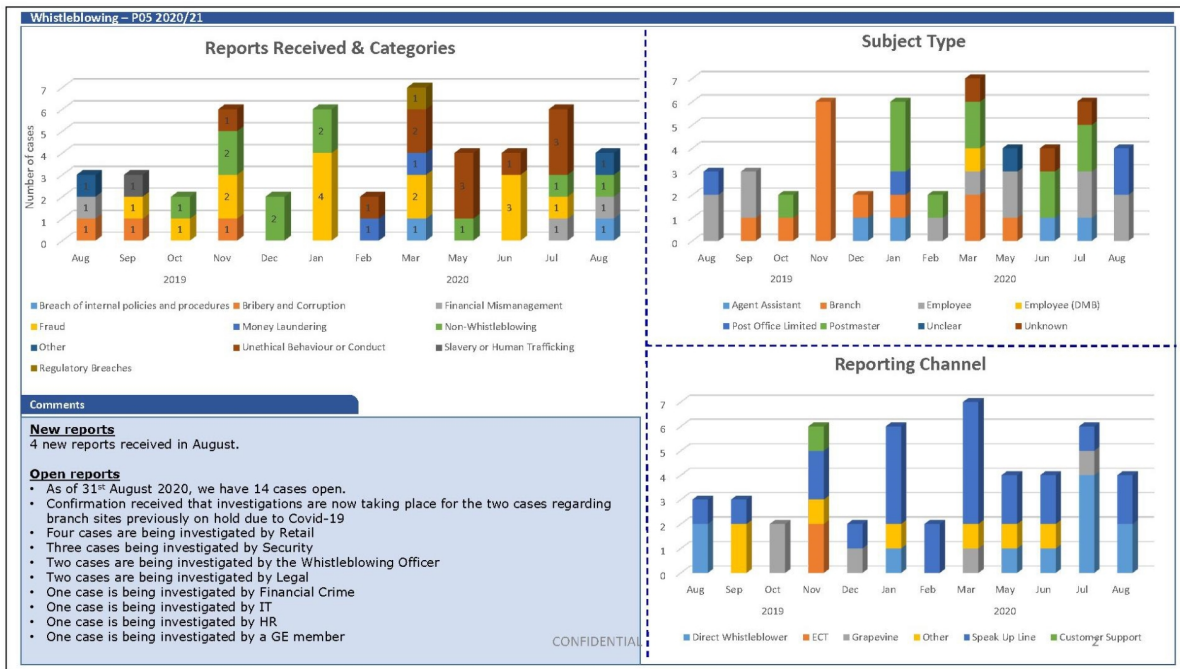
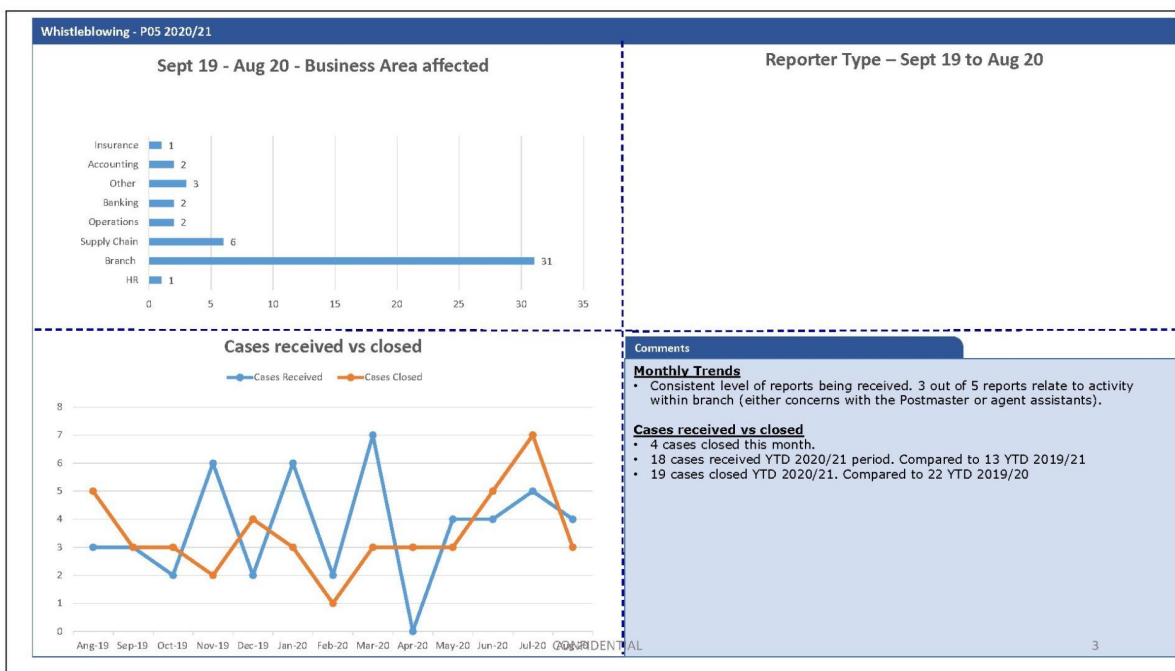


Appendix D – Whistleblowing MI 2020-21 P05

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Whistleblowing – P05 2020/21

Comments

**Whistleblowing cases:**

- Oversight meeting with MLRO scheduled w/c 14/09/20 to discuss open investigations and review cases for closure.

**Communication and Training**

- One focus article shared with POL colleagues drawing awareness to the updated Whistleblowing Policy and contacts
- The updated policy was signed off by RCC and ARC and was published on the Intranet with a One Comm during August

**Navex Contract**

- Catch-up meeting held with Navex on the 13<sup>th</sup> August. Changes to the phoneline IVR discussed and quote received. Referring to Finance to find budget to process with amends.
- Navex have notified all clients of changes to EU/US privacy shield framework. We are liaising with DP to understand the impact and requirements.
- During the migration onto the new platform, there were HTML tags attached to the historic data which made it difficult to read (e.g. </strong></p><p> at the end of each sentence). Navex have now found a solution and are able to correct this (no fee). To start this process, Navex have sent an order form to be signed by POL.

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