



Risk management in ShEx

Initial presentation to ExCo

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II. Work to date

- Identification of teams and individuals
- Meetings and risk document exchanges

III. Proposal

- ExCo summary risk document and group level RR
- Influencing “risk” approach in ShEx teams
 - Monthly risk meetings
 - “Living” document between group RR and ExCo summary
- Summary and next steps

I. Objectives

- Re-introduce Shareholder Executive Group Level Risk Register
 - Major team risks
 - Corporate and strategic risks
- Create and manage format for ExCo to review red/major risks at ExCo on a rolling monthly basis
- Drive more consistent approach to reporting/consideration of risk in project teams
- Create process for regular consideration of risk
- Feed in to BIS risk reporting/processes as needed
- AD level Risk Champion responsibility for the above

II. Work to date

- Series of meetings to:
 - Establish correct people in each times
 - Status of risk register/documents
 - Get thoughts on ShEx/ExCo contributions to teams

<u>Project</u>	<u>Other stakeholder(s)</u>	<u>SRO</u>	<u>Key contact</u>	<u>2nd contact</u>	<u>Riks register provided/discussed</u>
Royal Mail	HMT	RL	Leonie Lambert	Laurence Ridgway	Yes
Post Office	HMT, CO	AO	Peter Batten	Will Gibson	Yes
GIB policy	DECC, HMT	AO	Lai-Sze		Yes
MS - student loans	HE (BIS), HMT	MR	Steff Rupp	Gerald Weldon	Yes
PDG	CO, HMT	AO	Julie Price		Yes
Urenco	OGDs	AO	Lisa Husain	DH/JF	Yes
Business bank	HMT	AO	Gordon Baker	Will Holyoak	Yes
IE industrial	CO, Growth (BIS)	KMcC	Paul Hadley	Alex Phylatis	Yes
ICR - student loans	HE (BIS), HMT	MR	Gerald Weldon		Yes
NDA	DECC	MR*	Helen Mitchell		Yes
Cyber security	CO	KMcC*	Giles Smith	Jessica Rushworth	Yes
ShEx corporate	BIS	MR	Nigel Smith	Deeya/Isaac	Yes

III. Products – ExCo risk summary

- See separate hand out

III. Products – Group Level Risk Register

- See separate hand out

III. Products – “Living” intermediate document

- See separate hand out

III. Proposal

Summary

- Goal (of coming 6/9 months) demonstrate teams as seeing risks and their mitigation as a mindset rather than risk as an administrative obligation
- More alignment in ShEx teams approach to risk, while recognising diverse and complicated set of projects/assets
- Getting more direct input/free thought from ExCo – constantly evolving issues

Rolling framework (eg monthly)

- Monthly meeting (DH chaired) to discuss major risks, and agree ExCo summary document (and particular questions/discussion topic)
- ExCo consideration of risks and output/thoughts to team(s) (including attendance for major issues if warranted)

Ongoing

- Development and finalisation of ShEx risk register (ExCo to sign off – May/June)

Thoughts/questions/issues

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