

ICL Pathway *Acceptance Criteria Within Schedule C3 Acceptance Specification*

Ref.: CR/ACS/020
Version: 1.0
Date: 24/12/98

Document Title Acceptance Criteria Within Schedule C3 Acceptance Test.

Document Type Acceptance Specification

Abstract This document describes the Acceptance Test for Acceptance Criteria Within Schedule C3

Status Issued

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Approved For and behalf of ICL Pathway For and behalf of Authority(ies)

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Name
Date

0.1 DOCUMENT CONTROL

0.1 DOCUMENT HISTORY

Version	Date	Reason
0.1	1/4/98	Issued for review
0.2	23/7/98	Issued for approval
0.3	20/11/98	Updated for comments, issued for approval
0.4	10/12/98	Updated for comments, issued for approval
0.5	18/12/98	Includes reference to caveats document, issued for approval
1.0	24/12/98	Version for approvals

0.2 ASSOCIATED DOCUMENTS

	Reference	Version	Date	Title	Source
(1)					
(2)	Acceptance Standard	0.1	13/09/96	Standard for Raising and Progressing Acceptance Incidents.	Pathway
(3)	Acceptance Standard	1.2	13/7/98	Standard for documenting Acceptance Specifications	Pathway
(4)	Authorities' Agreement	7.2	22/5/97	Acceptance Procedures Schedule (A)A07	DSS/POCL
(5)	POCL Agreement	7.2	22/5/97	Acceptance Procedures Schedule (P)A11	POCL
(6)	DSS Agreement	7.2	22/5/97	Acceptance Procedures Schedule (D)A11	DSS

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	Reference	Version	Date	Title	Source
(7)	Authorities' Agreement	8.1	8/3/98	Requirements Schedule (A)Bo4	DSS/POCL
(8)	Authorities' Agreement	8.1	8/3/98	Solutions Schedule (A)Bo5	Pathway
(9)	DSS Agreement	8.1	8/3/98	Requirements Schedule (D)A15	DSS
(10)	DSS Agreement	8.1	8/3/98	Solutions Schedule (D)A16	Pathway
(11)	POCL Agreement	8.0	13/11/97	Requirements Schedule (P)A15	POCL
(12)	POCL Agreement	8.1	8/3/98	Solutions Schedule (P)A16	Pathway
(13)	CR/FSP/004	4.0	30/9/97	Service Architecture Design Document	Pathway
(14)	PA/STR/009	2.0	24/2/98	Release Contents Definition for Pathway New Release 2	Pathway
(15)	CR/ACS/021	0.3	13/11/98	Policies & Standards Acceptance Test Specification	Pathway
(16)	DSS Agreement	of	27/11/96	Schedule Bo1	DSS
(17)	POCL Agreement	of	27/11/96	Schedule Bo1	POCL
(18)	DSS Agreement	5.2	29/11/96	Schedule Bo2	DSS
(19)	POCL Agreement	5.2	29/11/96	Schedule Bo2	POCL
(20)	DSS Agreement	of	29/11/96	Schedule Bo3	DSS
(21)	POCL Agreement	of	29/11/96	Schedule Bo3	POCL

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Reference	Version	Date	Title	Source
(22) DSS Agreement	7.3	24/6/97	Schedule Bo6	DSS
(23) POCL Agreement	8.0	13/11/97	Schedule Go6	POCL
(24) As_cav01.doc	0.1 draft	4/12/98	Acceptance Specification Consolidated Caveats	DSS / POCL
(25)				
(26)				
(27)				

0.3 ABBREVIATIONS

BT	Business Thread
DSS	Department of Social Security
HLTP	High Level Test Plan
PDA	Programme Delivery Authority
POCL	Post Office Counters Ltd

0.4 CHANGES IN THIS VERSION

Version for approvals

0.5 GENERAL CAVEAT

Approval of this Acceptance Specification is subject to the relevant caveats in the extant version of *Acceptance Specification Consolidated Caveats*.

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1. PURPOSE & SCOPE

This document describes the Acceptance Test for Acceptance Criteria Within Schedule C3 in accordance with the Acceptance Procedures that are set out in the Schedules referred to in section 0.2 and also in the Pathway document "Standard for Documenting Acceptance Specifications". This Test will determine that Acceptance Criteria Within Schedule C3 meets all the Acceptance Criteria that are agreed in the Acceptance Specification and that are within the scope of the "Pathway Release Contents Specification" document for New Release 2, if applicable.

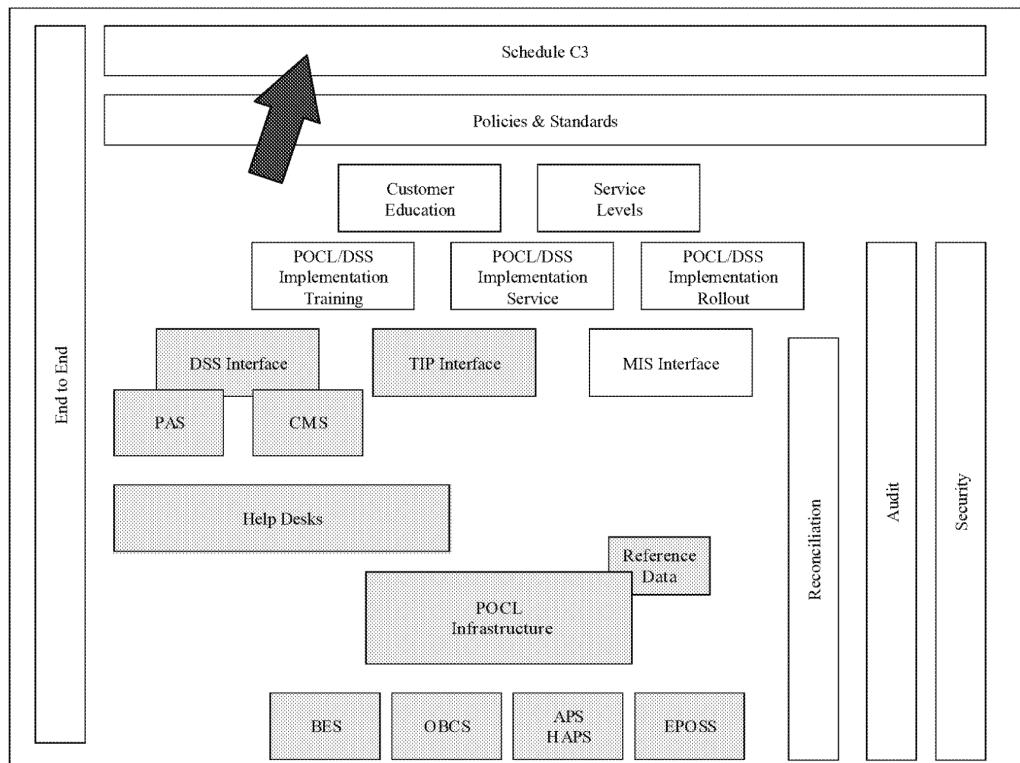


Figure1-1: This Acceptance Test in relation to others

2. ACCEPTANCE INCIDENTS

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The standard and method for originating, progressing and resolving Acceptance Incidents shall be as described in the associated Document "Standard for Raising and Progressing Acceptance Incidents".

3. ACCEPTANCE PERIOD

The Acceptance Period for the Acceptance Tests, which comprise the Operational Trial, is as determined by schedule Bo7 of the AUTHORITIES' Agreement.

The Pathway programme plan details the schedule for the Acceptance Criteria Within Schedule C3 Acceptance Test.

4. DELIVERABLES & SERVICES

This section details the Deliverables and Services that are the subject of this Acceptance Test and as defined by the related Agreements.

Deliverable or Service.	Contract Reference	Method
Requirements	(D)A15, (P)A15	Acceptance Review
Solutions	(D)A16, (P)A16	
Deliverables and Services	(D)A02, (D)B01, (D)B02, (D)B03, (D)B06, (D)B05, (D)D02, (D)E02; (P)A02, (P)B01, (P)B02, (P)B03, (P)G06, (P)B05, (P)D02, (P)E02, (P)F02, (P)G04, (P)H02,;	

Table of Deliverables and Services.

5. ACCEPTANCE CRITERIA

This section lists the identifier of each Acceptance Criterion that will be demonstrated by the Acceptance Test. It also lists the Acceptance Test Conditions that are used to determine whether (or not) the Acceptance Criterion has been met together with the applicable test Phase, Technical Test, or Live Trial.

Acceptance Criteria are split into three sets of tables according to the nature of the acceptance method, one set for those tested by Acceptance Trial, a second for those tested by Acceptance Review and a third which lists those criteria which are for Acceptance at a later release. The Release on which Acceptance is to be conducted is defined by reference to the Release Contents Description included in the Associated Documents section of the Acceptance Specification. Exceptionally, it may be necessary for one particular Acceptance Criterion to be tested by a combination of trial and review in which case there are entries for Trial and Review.

The Acceptance Criteria in this specification refer to those in other Acceptance Specifications. For these the recommended method is to treat the results of Acceptance Tests as Review items and to evaluate and resolve any non-conformances.

The Acceptance Criteria for this and other Acceptance Specifications are defined in the Pathway Acceptance Criteria Database.

5.1 ACCEPTANCE CRITERIA AND TEST CONDITIONS

Conformance of the Acceptance Criteria Within Schedule C3 Acceptance Criteria will be demonstrated through Acceptance Trials and/or Acceptance Reviews.

Tests conducted by Acceptance Trials comprise practical tests using prepared test scripts. If applicable the Test Condition(s) appropriate to a criterion are specified in section 5.1.1 together with a description of the test. Detailed composition of the test in terms of sequences of Test Conditions is contained in Section 10. In the tables in section 5.1.1 the rows labelled Function Run Entry will be populated immediately prior to the running of the Acceptance Trials in a working version of the Acceptance Specification. These will provide invigilators with references to the checklists used to monitor the progress of the testing. The order of running of Test Conditions will not necessarily correspond to the order presented in HLTPs

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because of the “physicalisation” of the testing. The Function Run Entry will allow the invigilator to read across from the criterion to the checklist.

Tests conducted by Acceptance Review comprise typically document reviews, site visits or presentations. If applicable the Test Condition(s) are described in section 5.1.2.

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5.1.1 Description Of Tests Conducted By Acceptance Trial

No Acceptance Criteria will be met by Acceptance Trial.

5.1.2 Description of tests conducted by Acceptance Review

The table below shows which Acceptance criteria are to be met by Acceptance Review. Acceptance Tests will use the versions of any relevant documents (as referenced from section 0.2) contained in the approved version of the Acceptance Specification.

Requirement ID	C3
Criterion Number	1
Derivation	Schedule
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p class="list-item-l1">(a) the Requirements specified in Schedule A15 of the DSS Agreement and Schedule A15 of the POCL Agreement (or Schedule B1 of the AUTHORITIES' Agreement prior to its transposition to the DSS and POCL Agreements) shall be satisfied fully:</p> <p class="list-item-l2">i. in accordance with the Policies and Standards specified in Schedule Ao2 of the DSS Agreement and schedule Ao2 of the POCL Agreement</p>
Test Condition	The Criteria specified in Acceptance Test Specification Policies & Standards are satisfactorily tested.
Method	Review of the results of the Policies & Standards Acceptance Test
References	(15)
Phase	Operational Trial

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Requirement ID	C3
Criterion Number	2
Derivation	Schedule
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p class="list-item-l1">(a) the Requirements specified in Schedule A15 of the DSS Agreement and Schedule A15 of the POCL Agreement (or Schedule B1 of the AUTHORITIES' Agreement prior to its transposition to the DSS and POCL Agreements) shall be satisfied fully:</p> <p class="list-item-l2">ii. by means of the Solutions specified in Schedule A16 of the DSS Agreement and Schedule A16 of the POCL Agreement (or Schedule B2 of the AUTHORITIES' agreement prior to its transposition to the DSS and POCL Agreements)</p>
Test Condition	The Requirements are met by the Solutions
Method	Document inspection of the results of Acceptance as evidenced through all other Acceptance Specifications
References	All other Acceptance Specifications
Phase	Operational Trial

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Requirement ID	C3
Criterion Number	3
Derivation	Schedule
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>(a) the Requirements specified in Schedule A15 of the DSS Agreement and Schedule A15 of the POCL Agreement (or Schedule B1 of the AUTHORITIES' Agreement prior to its transposition to the DSS and POCL Agreements) shall be satisfied fully:</p> <p style="margin-left: 40px;">iii. utilising the Software specified in schedule B1 of the DSS Agreement and Schedule B1 of the POCL Agreement</p> <p style="margin-left: 40px;">[DN: Interpreted as Schedules B01.]</p>
Test Condition	The Requirements are met using the specified software
Method	<p>Inspection of the functional software:</p> <p>DSS</p> <ul style="list-style-type: none"> • Auditor Access to CMS & PAS • Auditor Access to TMS <p>POCL</p> <ul style="list-style-type: none"> • Windows NT Workstation • Riposte • EIT • PCDF • PPF • ESNS+ • PEF • Auditor Access to TMS
References	(16), (17)
Phase	Operational Trial

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Requirement ID	C3
Criterion Number	4
Derivation	Schedule
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>(a) the Requirements specified in Schedule A15 of the DSS Agreement and Schedule A15 of the POCL Agreement (or Schedule B1 of the AUTHORITIES' Agreement prior to its transposition to the DSS and POCL Agreements) shall be satisfied fully:</p> <p>iv. utilising the Hardware specified in Schedule B2 of the DSS Agreement and Schedule B2 of the POCL Agreement</p> <p><i>[DN: Interpreted as Schedules B02.]</i></p>
Test Condition	The requirements are met using the specified hardware
Method	No test is required. No Hardware is specified in (18), (19). All hardware supplied is Pathway's. No hardware is to be supplied as an Optional Product.
References	(18), (19)
Phase	Operational Trial

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Requirement ID	C3																														
Criterion Number	5																														
Derivation	Schedule																														
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>(a) the Requirements specified in Schedule A15 of the DSS Agreement and Schedule A15 of the POCL Agreement (or Schedule B1 of the AUTHORITIES' Agreement prior to its transposition to the DSS and POCL Agreements) shall be satisfied fully:</p> <p>v. delivering the Documentation specified in Schedule B3 of the DSS Agreement and Schedule B3 of the [POCL] Agreement [DN: Interpreted as Schedules B03.]</p>																														
Test Condition	<p>The Requirements are met delivering the specified documents</p> <table border="1" data-bbox="571 1056 1349 1780"> <thead> <tr> <th></th> <th>DSS</th> <th>POCL</th> </tr> </thead> <tbody> <tr> <td>BA/POCL Reports and Receipts [BA/POCL Reports and Receipts - Pathway Horizon Office Platform Service: Release 2]</td> <td>✓</td> <td>✓</td> </tr> <tr> <td>OPS Style Guide [Pathway Horizon Office Platform Service Style Guide Manual]</td> <td></td> <td>✓</td> </tr> <tr> <td>Security Functional Specification</td> <td>✓</td> <td>✓</td> </tr> <tr> <td>Service Architecture Design Document</td> <td>✓</td> <td>✓</td> </tr> <tr> <td>TMS Technical Documentation [TMS Architecture]</td> <td>✓</td> <td></td> </tr> <tr> <td>Fraud Risk Management Service Design Specification</td> <td>✓</td> <td>✓</td> </tr> <tr> <td>PUN Information Note [PUN information notes, PC1, 11, 13]</td> <td>✓</td> <td>✓</td> </tr> <tr> <td>Service Interface Definition Document [obsolete]</td> <td></td> <td>✓</td> </tr> <tr> <td>CAPS Access Service High Level Design [CAPS Access Service High Level Design and CAPS Access Service (On-Line) High</td> <td>✓</td> <td></td> </tr> </tbody> </table>		DSS	POCL	BA/POCL Reports and Receipts [BA/POCL Reports and Receipts - Pathway Horizon Office Platform Service: Release 2]	✓	✓	OPS Style Guide [Pathway Horizon Office Platform Service Style Guide Manual]		✓	Security Functional Specification	✓	✓	Service Architecture Design Document	✓	✓	TMS Technical Documentation [TMS Architecture]	✓		Fraud Risk Management Service Design Specification	✓	✓	PUN Information Note [PUN information notes, PC1, 11, 13]	✓	✓	Service Interface Definition Document [obsolete]		✓	CAPS Access Service High Level Design [CAPS Access Service High Level Design and CAPS Access Service (On-Line) High	✓	
	DSS	POCL																													
BA/POCL Reports and Receipts [BA/POCL Reports and Receipts - Pathway Horizon Office Platform Service: Release 2]	✓	✓																													
OPS Style Guide [Pathway Horizon Office Platform Service Style Guide Manual]		✓																													
Security Functional Specification	✓	✓																													
Service Architecture Design Document	✓	✓																													
TMS Technical Documentation [TMS Architecture]	✓																														
Fraud Risk Management Service Design Specification	✓	✓																													
PUN Information Note [PUN information notes, PC1, 11, 13]	✓	✓																													
Service Interface Definition Document [obsolete]		✓																													
CAPS Access Service High Level Design [CAPS Access Service High Level Design and CAPS Access Service (On-Line) High	✓																														

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Level Design]		
BPS MIS Requirements Catalogue [Benefit Payment Service MIS Requirements Catalogue]	✓	
Pathways Training of User Awareness Baseline Document [Training and User Awareness Baseline Document]	✓	✓
Counter Hardware Design Specification		✓
Post Office Site Failure Contingency Procedures	✓	✓
Release 1 Contents Description [Release Contents Definition for Pathway New Release 2]	✓	✓
Release Policy	✓	✓
AP Client Design Specification [AP Client Specification <AP Client name>]		✓
Business Continuity Plan [Contingency Map]	✓	✓
Pathway Security Policy	✓	✓
Pathway Security Standards [Security Management Procedure]	✓	✓
OBCS Interface High Level Design [OBCS Interface Definition]	✓	✓
Counter Transaction Performance Measurement and Benchmarking [POCL Counter Transaction Performance Measurement]		✓

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	PPD Counters & Helpdesk [Horizon System Help Desk Call Enquiry Matrix NR2 - PCHL Call Enquiry Matrix Pathway Customer Service PPD Structure and Review Method NR2 Electronic Point of Sale Service PPD NR2 Order Book Control Service PPD NR2 Benefit Encashment Service PPD NR2 Operating Environment PPD NR2 Access Control and User Administration PPD NR2 Automated Payment Service PPD NR2 Pathway Card Distribution Facility PPD NR2 Payment Card Helpline PPD NR2 Horizon System Helpdesk PPD]	✓	✓
Method	<p>Document inspection of the delivered documents.</p> <p>Note that some of the documents have different titles from those listed and/or are organised into different document structures. The titles within brackets describe how the specified documents are realised where different.</p>		
References	(20) (21)		
Phase	Operational Trial		

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Requirement ID	C3	
Criterion Number	6	
Derivation	Schedule	
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>b) such Requirements are capable of being implemented as specified in Schedule B6 of the DSS Agreement and Schedule G6 of the POCL Agreement [DN: Interpreted as Schedules xo6.]</p>	
Test Condition	<p>The specified Requirements referenced by the Acceptance Criteria within the applicable Acceptance Test Specifications are capable of implementation.</p>	
	DSS	
	926	IM/ACS/005 (Implementation - Roll-out)
	929	RS/ACS/001 (End-to-End) & IM/ACS/005 (Implementation - Roll-out)
	930	IM/ACS/005 (Implementation - Roll-out)
	931	IM/ACS/005 (Implementation - Roll-out)
	941	IM/ACS/005 (Implementation - Roll-out)
	972	IM/ACS/005 (Implementation - Roll-out)
	974	CR/ACS/017 (DSS Interface)
	POCL	
	487	IM/ACS/005 (Implementation - Roll-out)
	488	IM/ACS/005 (Implementation - Roll-out)
	489	IM/ACS/005 (Implementation - Roll-out)
	490	IM/ACS/005 (Implementation - Roll-out)
	491	IM/ACS/005 (Implementation - Roll-out)
	492	IM/ACS/005 (Implementation - Roll-out)
	493	IM/ACS/003 (Implementation - Services
	494	IM/ACS/003 (Implementation - Services
	495	IM/ACS/005 (Implementation - Roll-out)
	496	IM/ACS/005 (Implementation - Roll-out)
	497	IM/ACS/005 (Implementation - Roll-out)
	498	IM/ACS/005 (Implementation - Roll-out)
	499	CR/ACS/018 (Help Desks)
	500	IM/ACS/005 (Implementation - Roll-out)

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	501	IM/ACS/005 (Implementation - Roll-out)
	502	IM/ACS/005 (Implementation - Roll-out)
	503	IM/ACS/005 (Implementation - Roll-out)
	504	IM/ACS/005 (Implementation - Roll-out)
	507	IM/ACS/005 (Implementation - Roll-out)
	508	IM/ACS/005 (Implementation - Roll-out)
	509	IM/ACS/005 (Implementation - Roll-out)
	510	IM/ACS/005 (Implementation - Roll-out)
	511	IM/ACS/005 (Implementation - Roll-out)
	512	IM/ACS/005 (Implementation - Roll-out)
	513	IM/ACS/005 (Implementation - Roll-out)
	514	IM/ACS/005 (Implementation - Roll-out)
	515	IM/ACS/005 (Implementation - Roll-out)
	516	IM/ACS/005 (Implementation - Roll-out)
	517	IM/ACS/005 (Implementation - Roll-out)
	518	IM/ACS/005 (Implementation - Roll-out)
	519	IM/ACS/005 (Implementation - Roll-out)
	525	CR/ACS/018 (Help Desks) & CR/ACS/006 (APS)
	834	CR/ACSO08 (EPOSS)
	906	IM/ACS/005 (Implementation - Roll-out)
	929	RS/ACS/001 (End-to-End) & IM/ACS/005 (Implementation - Roll-out)
	941	IM/ACS/005 (Implementation - Roll-out)
	945	IM/ACS/005 (Implementation - Roll-out)
	971	CR/ACS/006 (APS)
	972	IM/ACS/005 (Implementation - Roll-out)
Method	Document inspection of the results of the Acceptance Tests listed above for the Acceptance Criteria derived from the Requirements listed above.	
References	(22), (23)	
Phase	Operational Trial	

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Requirement ID	C3		
Criterion Number	7		
Derivation	Schedule		
Description	<p>The Services shall meet the following acceptance Criteria, namely that:</p> <p>c) the Acceptance Criteria in Schedules B5, D2, and E2 of the DSS Agreement and B5, D2, E2, F2, G4 and H2 of the POCL Agreement are met</p> <p>[DN: Interpreted as Schedule xon.]</p>		
Test Condition	<p>The Services meet the Acceptance Criteria in the specified Schedules</p>		Acceptance Specifications
	DSS Schedule	Schedule subject and considerations within Schedule	
	B05	<p>DSS Service Infrastructure</p> <p>Security, interface validation</p> <p>Fraud</p> <p>Contingency</p>	<p>RS/ACS/002 (Security)</p> <p>CR/ACS/017 (DSS Int)</p> <p>RS/ACS/002 (Security)</p> <p>CR/ACS/011 (Audit)</p> <p>RS/ACS/002 (Security)</p>
	Do2	<p>PAS</p> <p>Security</p> <p>Fraud</p> <p>Contingency</p> <p>Service Levels</p>	<p>RS/ACS/002 (Security)</p> <p>CR/ACS/015 (PAS)</p> <p>RS/ACS/002 (Security)</p> <p>CR/ACS/011 (Audit)</p> <p>CR/ACS/015 (PAS)</p> <p>RS/ACS/002 (Security)</p> <p>CR/ACS/015 (PAS)</p> <p>CS/ACS/008 (MIS)</p>
	E02	<p>CMS</p> <p>Security</p>	<p>RS/ACS/002 (Security)</p> <p>CR/ACS/016 (CMS)</p>

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		Fraud Contingency Service Levels	RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/016 (CMS) RS/ACS/002 (Security) CR/ACS/016 (CMS) CS/ACS/008 (MIS)
	POCL Schedule	Schedule subject and considerations within Schedule	Acceptance Specifications
	B05	POCL Service Infrastructure Security, interface validation Fraud	RS/ACS/002 (Security) CR/ACS/001 (BES) CR/ACS/006 (APS) CR/ACS/008 (EPOSS) CR/ACS/007 (POCL Inf) CR/ACS/005 (OBCS) RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/012 (Reconcil'n) CR/ACS/007 (POCL Inf)
	Do2	BES Security Fraud Contingency Service Levels	RS/ACS/002 (Security) CR/ACS/001 (BES) CR/ACS/007 (POCL Inf) RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/012 (Reconcil'n) CR/ACS/001 (BES) RS/ACS/002 (Security) CR/ACS/001 (BES) CS/ACS/008 (MIS)
	E02	APS	

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	Security	RS/ACS/002 (Security) CR/ACS/006 (APS) CR/ACS/007 (POCL Inf) RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/012 (Reconcil'n) RS/ACS/002 (Security) CS/ACS/008 (MIS)
Fo2	EPOSS Security Fraud Contingency Service Levels	RS/ACS/002 (Security) CR/ACS/008 (EPOSS) CR/ACS/007 (POCL Inf) RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/012 (Reconcil'n) RS/ACS/002 (Security) CS/ACS/008 (MIS)
Go4	POCL Infrastructure Services Security, interface validation Fraud Contingency Service Levels	RS/ACS/002 (Security) CR/ACS/007 (POCL Inf) CR/ACS/001 (BES) CR/ACS/006 (APS) CR/ACS/008 (EPOSS) CR/ACS/005 (OBCS) RS/ACS/002 (Security) CR/ACS/011 (Audit) CR/ACS/012 (Reconcil'n) CR/ACS/007 (POCL Inf) RS/ACS/002 (Security) CR/ACS/007 (POCL Inf) CS/ACS/008 (MIS)
Ho2	OBCS	

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	Security	RS/ACS/002 (Security) CR/ACS/007 (POCL Inf) CR/ACS/005 (OBCS)
	Fraud	RS/ACS/002 (Security) CR/ACS/011 (Audit)
	Contingency	CR/ACS/012 (Reconcil'n) RS/ACS/002 (Security)
	Service Levels	CR/ACS/005 (OBCS) CS/ACS/008 (MIS)
Method	Document inspection of the results of the Acceptance Tests in the Acceptance Specifications listed for the considerations defined.	
References	These are shown in the Test Condition above	
Phase	Operational trial	

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Requirement ID	C3
Criterion Number	8
Derivation	Schedule
Description	Acceptance during the Operational Trial Period shall also be dependent on the CONTRACTOR demonstrating the capability of the "Release 2" (as defined in Schedule A07) to support the payment of multiple benefits and on-line functionality, both of which will be introduced into live operation later, in conjunction with CAPS version 3
Test Condition	No separate demonstration is required as this capability is available as part of New Release 2. <i>[DN: Now expected to be introduced into live operation by DSS at CAPS Version 4.0.]</i>
Method	Document inspection
References	BPS Systems Test and BIT Reports
Phase	Operational Trial

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5.2 CRITERIA FOR LATER ACCEPTANCE

This level of Acceptance Specification relates to Tests performed at New Release 2. Certain functionalities are not implemented at New Release 2 and therefore will not be Accepted at this time.

The three principal deliverables currently planned for New Release 2 +, "Soft" EVP, Enquiries On-Line and AP Smart are items for which agreements on definition are not yet available or (in the case of AP Smart) was achieved too late for inclusion in New Release 2.

A later level of this specification will define the process for Acceptance of associated Criteria.

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5.3 CRITERIA SUMMARY

Req ID	Criterion	Trial	Review	Later Acceptance
C3	1.		✓	
C3	2.		✓	
C3	3.		✓	
C3	4.		No test	
C3	5.		✓	
C3	6.		✓	
C3	7.		✓	
C3	8.		✓	

6. ACCEPTANCE INCIDENT SEVERITY

This section identifies the guidelines to be applied during the analysis of Acceptance Incidents, in order to establish the severity of such Acceptance Incidents.

6.1 HIGH SEVERITY INCIDENTS

Failure to meet an Acceptance Criterion which would have a substantive impact on the service received by the Customer, e.g. failure to pay benefits to the right person, at the right place, at the right time.

Failure to meet an Acceptance Criterion which would have a major impact on the ability of the AUTHORITY or AUTHORITIES to perform their business, or where there was a major impact on the resources of the AUTHORITY or AUTHORITIES necessary to overcome that impact on their business, e.g. failure to support accurate POCL accounting.

Failure to meet an Acceptance Criterion which would impact the security of the service where there is no acceptable procedural workaround.

Consistent failure to meet Minimum Acceptable Thresholds for Service Levels, e.g. where particular transactions do not meet the minimum Acceptable Threshold under normal loading.

6.2 MEDIUM SEVERITY INCIDENTS

Failure to meet an Acceptance Criterion which is visible to the Customer and is likely to give rise to an adverse public perception of the service, but does not substantively impact the service received by the Customer, e.g. incorrect spelling on a receipt.

Failure to meet an Acceptance Criterion which would have a medium impact on the ability of the AUTHORITY or AUTHORITIES to perform their business, or where there was a medium impact on the resources of the AUTHORITY or AUTHORITIES necessary to overcome that impact on their business, e.g. non-production of a weekly report, resulting in its manual transcription, which causes additional resource or effort at every outlet of the average duration of one hour per week per outlet.

Occasional failure to meet Minimum Acceptable Thresholds for Service Levels, e.g. at peak loading, some transactions fail to meet Minimum Acceptable Thresholds, but on average all transactions within the service do achieve Minimum Acceptable Thresholds.

6.3 LOW SEVERITY INCIDENTS

Failure to meet an Acceptance Criterion that is neither visible to nor has substantive impact on the service received by the Customer e.g. presentational, style and other cosmetic faults that are only visible to the user.

Failure to meet an Acceptance Criterion which would have a minor impact on the ability of the AUTHORITY or AUTHORITIES to perform their business, or where there was a minor impact on the resources of the AUTHORITY or AUTHORITIES necessary to overcome that impact on their business, e.g. non-production of a weekly report, resulting in its manual transcription, which causes additional resource or effort at ten or fewer outlets of the average duration of one hour per week per outlet.

Failure to meet an Acceptance Criterion which would impact the security of the service but where the workaround is as secure as the original solution (i.e. the only impact on risk is in ensuring that the workaround is performed, but where procedures have been agreed and are in place).

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7. TEST DATA

Test data including any operator entered scripts that are required to run the Acceptance Test are defined below.

Business Test Thread: Not applicable
High Level Test Plan(s): Not applicable
Organisation: Not applicable

8. AUTHORITY RESPONSIBILITIES

This section describes the AUTHORITY's or AUTHORITIES' Responsibilities in relation to this Acceptance Test. Particular Acceptance Tests may also require additional participation and responsibility by the AUTHORITY or AUTHORITIES.

8.1 APPOINT TEST MANAGER

The AUTHORITY or AUTHORITIES shall nominate a Test Manager and other representatives to review the tests prior to commencement of the test.

8.2 ACCEPTANCE INCIDENT REPORTS

The nominated representatives and Test Manager shall be diligent in raising complete, accurate and timely Acceptance Incident Reports as set out within this Acceptance Specification.

8.3 ACCEPTANCE INCIDENT ANALYSIS REPORTS

The Test Manager shall be diligent in returning signed Acceptance Incident Analysis Reports with their decision (e.g. Accept, Reject, Discuss) normally within five working days, or when urgency is requested by Pathway, within two working days of receipt from Pathway. A copy of all correspondence will be faxed to reduce delay.

8.4 ATTENDANCE AT TRIALS AND REVIEWS

The nominated representatives shall at their discretion attend Acceptance Test Trials and Reviews including repeat Tests at reasonable times and reasonable locations and with reasonable advance notice by Pathway.

8.5 MANAGEMENT AND CO-ORDINATION

The Test Manager shall be the single point of communication and co-ordination with Pathway's nominated Test Manager for all matters concerning this Acceptance Test from its initial planning through to Acceptance.

8.6 PROGRESS REVIEWS

Unless otherwise waived by both parties, Pathway's Test Manager and the AUTHORITY's or AUTHORITIES' Test Manager shall meet each week to review the progress and actions of both parties until Acceptance of the Acceptance Test is achieved. The time and location of review meetings will be scheduled with at least two week's advance notice by Pathway.

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9. CONTRACTOR RESPONSIBILITIES

The Contractor shall nominate a Test Manager for each Test who shall be the single point of communication and co-ordination with the AUTHORITY's or AUTHORITIES' Test Manager for all matters concerning this Acceptance Test from its initial planning through to Acceptance.

Upon receipt of a signed Acceptance Incident Analysis Report from the AUTHORITY or AUTHORITIES, where correction is required to be re-tested within the same phase of Acceptance Test, the Contractor will return the amended component(s), on average, within 4 days. This will include re-testing necessary as per the agreed test strategies.

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10. ACCEPTANCE TRIAL TEST CONDITIONS