

From: Singh, Gurdeep - UKGI[/O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=8048A41172B64F6CBBE66445C2086761-SINGH, GURD]

Sent: Tue 28/06/2022 2:50:48 PM (UTC)

To: Evelyn Hocking [REDACTED]; Andrew Mortimer [REDACTED]; Stuart Lill [REDACTED]; Emanuel, Catherine [REDACTED]; Cooper, Tom - UKGI [REDACTED]; Creswell, Carl (Professional Business Services, Retail & Post Directorate) [REDACTED]; benjamin.tidswell [REDACTED]; GRO; Ben.Foat [REDACTED]; GRO; Matthew Nelson; Hilton [REDACTED]; GRO; rodric.williams [REDACTED]; GRO; graham.hemingway [REDACTED]; GRO; maria.runsewe [REDACTED]; GRO; Simon Recaldin [REDACTED]; GRO; Catherine Connolly [REDACTED]; GRO; jo.fox [REDACTED]; GRO; Mackie, Robert - UKGI [REDACTED]; GRO; Gourlay, James - UKGI [REDACTED]; Morgan, Simon - UKGI [REDACTED]; GRO; Lakhani, Nikhil - UKGI [REDACTED]; GRO; Jones, Ramona - UKGI [REDACTED]; GRO; brooks-white [REDACTED]; GRO; Wones, Eleri (BEIS) [REDACTED]; GRO; Debenham, Jory (BEIS) [REDACTED]; GRO; Heath, Daniel (BEIS) [REDACTED]; GRO; Butler [REDACTED]; Liam (BEIS) [REDACTED]; GRO; Thomas, Kaye (Business Investment) [REDACTED]; GRO; Nickels, Jo (BEIS) [REDACTED]; GRO; Pavandeep Dhami [REDACTED]; GRO; Shepherd, David [REDACTED]; GRO; Diane Wills [REDACTED]; GRO; Jacki Adams [REDACTED]; GRO; Lawrence, Ciara (BEIS) [REDACTED]; GRO; Fallowfield, Harry (BEIS) [REDACTED]; GRO; Nigel Machin [REDACTED]; GRO

Cc: Elvin, Dominic - UKGI [REDACTED]; GRO; Scott, Joshua - UKGI [REDACTED]; GRO; Victor Turner [REDACTED]; GRO; James Rolling [REDACTED]; James Rolling [REDACTED]; GRO; Richard Paddington [REDACTED]; GRO; Tan, Li - UKGI [REDACTED]; GRO; Dunseath, Laura - UKGI [REDACTED]; GRO; Shevaun Carneiro [REDACTED]; GRO

Subject: RE: OHC and HSS Monthly Monitoring

Hi all

With many thanks to Josh for putting this together, please see below a readout of the OHC/HSS MM meeting on 16th June. Hope this is helpful and very happy to discuss further.

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OFFICIAL SENSITIVE – CONFIDENTIAL & SUBJECT TO LEGAL PRIVILEGE
OHC and HSS Monthly Monitoring Meeting Note

16 June 2022

OHC

General Discussion on Feedback

Legal Privilege

Legal Privilege

- On ENE timetable, SR and RM confirmed that a timeline has been shared for review. RM explained that UKGI will share a breakdown after the meeting. AW explained that the timeline and HSF availability will need to be discussed. This will be picked up at the OHC WG planning meeting on 17 June. AW explained that Lord Dyson had commented on the ENE ToRs – his comments were reasonable and an updated version will be shared shortly.
- On mediation, SR explained that Hudgells has still yet to nominate a mediator. HSF are considering options with a view of sharing potential mediators with Hudgells by the end of the week.
- On the removal of the clawback clause, SR explained that POL will be seeking to take it out and are currently in discussion with HMT/HMRC with regard to the previous clearance provided at interim payment stage. Hoping to have this resolved soon and POL will prepare retrospective communications once approved.
- On overturned convictions, SR explained that POL had received one further application for an interim payment that HSF is reviewing. MR confirmed that there are currently 3 interim payment applications in the pipeline which will come to BEIS shortly for approval of funding.
- On the timeline for the P&P Horizon case review, SR explained that it is split into 3 stages. Stage one is the only approved stage at the minute in which P&P are undertaking an initial review and advising on the principle. P&P started on 6 June and SR expects this to finish end-July. HRC will then consider the output of this before deciding whether to proceed to stage 2 (a more granular review of cases). On the overall number of convictions, SR explained that there has been no material movement and a small number of cases are currently going through the courts. BW noted that it may be a nil return from Northern Ireland cases; JG suggested that the reasons for the nil return (i.e. that they are not Horizon cases as per *Hamilton*) need to be confirmed in writing. SR agreed that this needs to be through POL.
- BW queried whether further roundtable were planned with other prosecuting bodies. SR explained that it is more bilateral engagements at the minute. SR also noted that DWP are slightly behind due to legal resource but that POL is doing all it can to assist.

Other Items Raised by POL

- SR flagged the upcoming Quarterly Shareholder Meeting and that he is preparing an OHC/HSS brief for the POL CEO.
- On the Inquiry, SR explained that POL are currently working on a brief that it will fact-check with BEIS as needed which should be ready by the end of the month. BW explained that BEIS are working on a similar timetable and flagged the potential for a Ministerial announcement prior to the hearings.

Legal Privilege

- BW queried whether any other claims had come forward. AW explained that he was speaking to Paul Marshall on Monday and that SAR3 is in preparation.

HSS

General Discussion on Feedback

- On the DRP, the group agreed that progress was good. SR explained that the whole end-to-end process has not been finalised and that HRC next week will consider a number of issues: i) the provision of legal support to postmasters beyond the £1200 already offered. This will be capped with some flexibility to go above if needed. SR explained that there will be a funding requirement and that he will work with officials to see if this can be included as part of the current funding envelope; ii) the pre-mediation principles approval in which POL have

consulted BEIS/UKGI; and, iii) the process around mediation itself, where again officials have been consulted.

- PG presented the latest numbers in the DRP process. PG noted that 20-30% of disputes/queries are resolved pre-GFM showing that the personal approach POL is taking at DRP is bearing fruit. PG noted that POL all 4 EMs held by POL have resulted in the acceptance of the offer. PG explained that it does take time if cases are referred back to Panel however post-offer cases do have the added benefit of a case handler to consider applicant engagement. PG confirmed that c. 80% of applicants respond within 20 days.
- On pre-offer, SR explained that the public target for end-June was 65% with an internal stretch target of 70%. He noted 45 letters had been on hold due to a PI issue but that this has been resolved and these will be issued shortly. SR also noted that there have been a small number of panel movements in the month but that these have been manageable. In terms of August, SR confirmed diaries have been checked and agreed but will keep under review.
- AW asked if there was any support BEIS could provide with regard to unblocking issues at DWP with the CRU. **ACTION – HF to reach out to CRU officials in DWP.**
- On the HSS model, RM explained that UKGI had raised some concerns with regard to out of date data which are being worked through. VT explained the issue, noting that most of problem was due to unquantified claims becoming quantified. POL will provide a firmer update on HSS model/provision developments once the data is fully understood. In terms of control points and ensuring data is updated, LT explained that one of the reasons is because the data in the model is not consistent with the MI. LT suggested that it would be useful from a controls perspective that the live data from the MI is consistent with the model. GS flagged that this is important from a HMG finance perspective as well. **ACTION – PV to review and confirm provision positions and explain the increase of ca. £20m outside of the meeting and revert back.**

Other Items Raised by POL

- On making public the total quantum offered by POL, SR was supportive and explained that he is liaising with POL Comms.
- On late applications, SR explained that due to a number of factors POL has changed its position and will shortly put a paper to HRC proposing to use the HSS process and systems for late applications. SR confirmed that currently the number is 170 but that this could increase. POL are still working through firm costs but would look to utilise the existing envelope. A proposal will come to BEIS soon. In terms of reporting, SR proposed that late applications be ring fenced as to avoid blurring the existing targets.
- On Postmaster Detriment (PD), SR explained that they await the outcome of the PD 1 business case decision. Planning to go live on 1 August. RM flagged that BEIS PIC had considered the business case and had some follow up questions that he will be in touch separately on. On PD 2, SR explained [REDACTED] and that is a growing population in which there is pressure to resolve. He noted that no formal decision has been taken yet and that a paper is going to HRC next week. SR explained that it is likely POL would seek further funding and want to clarify the position ahead of the inquiry hearings. RM explained that in terms the inquiry, it is important HMG and POL have shared position on this, and suggested SR formally write to CC and TT on this. **ACTION – SR to write to senior BEIS officials with regard to PD2.**
- JA confirmed that a letter of comfort has been discussed internally in POL. RM clarified that comfort letters have typically come alongside funding guarantees so the question for POL is whether what is in the current comfort letter is sufficient and, if not, what more is needed.

Regards

Gurdeep Singh | Manager

UK Government Investments

1 Victoria Street, London, SW1H 0ET

GRO

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This information is highly market sensitive. Unauthorised disclosure of this information may constitute market abuse, an offence that can attract criminal penalties, and may amount to a breach of the civil service code

-----Original Appointment-----

From: Scott, Joshua - UKGI [REDACTED] **GRO** [REDACTED] >
Sent: 06 December 2021 11:01
To: Scott, Joshua - UKGI; Evelyn Hocking; Andrew Mortimer; Stuart Lill; Emanuel, Catherine; Cooper, Tom - UKGI; Creswell, Carl (Services Directorate); benjamin.tidswell [REDACTED] **GRO** [REDACTED]; Ben.Foat [REDACTED] **GRO** [REDACTED]; Matthew Nelson Hilton; rodric.williams [REDACTED] **GRO** [REDACTED]; graham.hemingway [REDACTED] **GRO** [REDACTED]; maria.runsewe [REDACTED] **GRO** [REDACTED]; Simon Recaldin; Catherine Connolly; jo.fox [REDACTED] **GRO** [REDACTED]; Mackie, Robert - UKGI; Singh, Gurdeep - UKGI; Gourlay, James - UKGI; Morgan, Simon - UKGI; Lakhani, Nikhil - UKGI; Jones, Ramona - UKGI; brooks-white [REDACTED] **GRO** [REDACTED]; Wones, Eleri (BEIS); Debenham, Jory (BEIS); Butler [REDACTED] Liam (BEIS); 'Daniel Heath'; Thomas, Kaye (Business Investment); Nickels, Jo (BEIS); Pavandeep Dhami; Shepherd, David; Diane Wills; Jacki Adams; Lawrence, Ciara (BEIS); Fallowfield, Harry (BEIS); Aaron Davey; Nigel Machin
Cc: Elvin, Dominic - UKGI; Victor Turner; [REDACTED] **James Rolling** [REDACTED]; James Rolling; Richard Paddington; Tan, Li - UKGI; Dunseath, Laura - UKGI; Shevaun Carneiro
Subject: OHC and HSS Monthly Monitoring
When: 16 June 2022 11:00-12:30 (UTC+00:00) Dublin, Edinburgh, Lisbon, London.
Where: Microsoft Teams Meeting

Hi all,

Please see the new invite for the OHC and HSS Monthly Monitoring meetings.

Usual agenda as per the below. Will review the agendas and make any changes if needed closer to the time.

11:00 – 11:45 OHC Monitoring

- Introductions and welcomes (BEIS)
- Status Update and Overview (POL)
- General Discussion on Feedback (All)
- AOB and Close

11:45 – 12:30 HSS Monitoring

- Introductions and welcomes (UKGI)
- Status Update and Overview (POL)
- General Discussion on Feedback (All)
- AOB and Close

Cheers,

Josh

Microsoft Teams meeting

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