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23<sup>rd</sup> June 1998

Dear Hilary,

### **AUDIT DATA RETRIEVAL SCENARIOS**

You will recall that the subject of audit data retrieval scenarios has been raised at the last two Panel meetings and on both occasions we have struggled to understand exactly what it was that we were trying to define. It is becoming clear, as the design for the audit solution emerges, that there is a need for POCL (and BA) to provide some guidance to Pathway as to the nature of the retrieval requests that they might want in the future.

This is not easy.

Para 9.1 of the Audit Data Retrieval Requirements document establishes a format framework of likely TMS based requests and 11.4 the potential date spread. What is needed now is more detail of the <events> that might be of interest. By <event> I have in mind 'a NINO', 'a beneficiary', 'a PUN' or something similar. Thus the frame example given in Para 9.1 could be expanded to :

Between <this date> and <that date>  
and for <all outlets>  
show all instances of <this NINO>, or

Between <this date> and <that date>  
and for <this outlet>  
show all instances of <payment authorisations>, or

Between <this date> and <that date>  
and for <this group of outlets>  
show all instances of <unencashed payments>.

The above are examples only and show the type of requests that I believe will form the basis of audit data retrievals. Do they accord with your current thinking?

The date spread issue is to do with the volume of, particularly, TMS data that has to be retrieved from the audit archives and made available for the extraction/selection tool. Clearly the bigger the date spread the larger the volume and this will be exacerbated if there is a broad outlet selection associated with it. Current thinking (Para 11.4) has identified anything from 3 days to 3 months or even longer. Can we get greater precision into this?

Finally, my initial feeling is that BA's primary search key is going to be NINO whereas POCL's will be Outlet. Can you confirm that?

I have asked Frank Womack, the audit archive designer, to give us a short presentation on the emerging design at our next meeting on the 9<sup>th</sup> July. I want to make best use of his time on the day and would like to progress this matter during that session.

Yours sincerely,

Jan Holmes  
Pathway Audit Manager

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