

**THE POST OFFICE HORIZON IT INQUIRY**

**RESPONSE TO THE INQUIRY’S INFORMATION BATCH REQUEST 003 TO UK GOVERNMENT INVESTMENTS LIMITED**

This document has been prepared in response to the Inquiry’s third request for information, dated 26 February 2021. That request was addressed to UK Government Investments Limited (“UKGI”). The Inquiry’s question, and the answer thereto, is set out below.

In our recent Call for Evidence return, produced jointly with the Department for Business, Energy & Industrial Strategy (“BEIS” or the “Department”), we set out the governance approach taken with regards to Post Office Ltd (“POL”) and set out the roles performed by both UKGI and the Department. This response should be read in conjunction with the response provided to the Call for Evidence and its underpinning evidence pack.

In this request, you ask for information about the teams within UKGI that engage with Post Office Ltd (“POL”). We have not included details of management or other processes within UKGI, and instead focused this response on those teams who have a specific role to engage with POL. Please see further details below.

**Please provide information about all the teams in UKGI who engage directly or indirectly with POL.**

- This should include the name of the team and its lead official(s).
- A brief summary of the nature of the relationship between the team and POL.

Team and/or Directorate	Lead Official	Brief summary of the nature of the relationship
Post Office Shareholder Team	Tom Cooper, Director	UKGI is responsible for performing the shareholder role for POL and holds a board seat on POL’s board as a non-executive director (the “Shareholder NED”).
	Jamie Carter, Executive Director	These roles are set out in more detail in the BEIS-UKGI response to the Call for Evidence submitted to the Inquiry on Tuesday 23 February.
	Rob Mackie, Executive Director	The Shareholder Team is the main point of contact for POL within UKGI.

<p>UKGI General Counsel &amp; Legal Team</p>	<p>Lucie Lambert, General Counsel</p>	<p>The UKGI Legal Team advise the UKGI Shareholder Team in respect to all matters relating to POL. This can include, amongst other things, advice on matters that require Shareholder consent under the Articles of Association, appointments and employment of senior POL employees, and any disputes or litigation.</p> <p><u>Engagement with POL</u> In the ordinary course, the UKGI Legal Team have limited direct engagement with POL and predominantly act in an advisory capacity to the UKGI Post Office Shareholder Team. Examples of direct engagement (which are more frequent than usual at present, due to the current circumstances) include:</p> <ul style="list-style-type: none"> <li>- Attending POL board meetings focusing on litigation matters to improve the visibility of the Shareholder team on these meetings; and</li> <li>- Dialogue with the POL General Counsel and legal team in relation to litigation matters.</li> </ul>
<p>UKGI Appointments Team</p>	<p>Susie Timlin, Chief Operating Officer</p>	<p>The UKGI Appointments Team leads the dissemination of best practice to shareholder teams, including providing specific support in relation to board appointments and remuneration.</p> <p><u>Engagement with POL</u> On an ad hoc basis, the Appointments Team may have cause to interact with POL in the context of making appointments to POL's board.</p>
<p>Corporate Services</p>	<p>Susie Timlin, Chief Operating Officer</p> <p>Rob Razzell, Chief Financial Officer</p>	<p>On an ad hoc and very limited basis, other teams within UKGI may have cause to interact with POL which can include, amongst other things:</p> <ul style="list-style-type: none"> <li>- Corporate communications, or other media inquiries, for example FOIA requests;</li> <li>- Hosting networking events (to which POL may be invited to attend);</li> <li>- Occasionally sharing insights into procurement best practices within HMG/UKGI;</li> <li>- Providing high-level advice on contingency planning and corporate distress; and</li> <li>- Supporting any engagement between a UKGI Executive Committee and/or UKGI board member the POL Board and/or Executive.</li> </ul>