



Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

## 0.0 Document Control

### 0.1 Document History

Version No.	Date	Reason for Issue	Associated CP/PinICL
0.1	20/8/99	First draft	
0.2	2/4/03	Rewrite after changes to the process	
0.3	15/4/03	Changed after comments	
0.4	13/11/03	Additions Added to Generating OCP and Approving OCP, Section 2.2 & 2.3	
0.5	24/02/04	Some corrective changes made re comments sheet from Dave Anders & Suzanne Robson POL.	
1.0	18/03/04	Changes made in 0.5 Approved by POL, no further impacts out for Approval.	

### 0.2 Review Details

Review Comments by :	29/03/04
Review Comments to :	Mike Stewart

Mandatory Review Authority	Name
Fujitsu Services Ltd	Peter Burden
Optional Review / Issued for Information	
Fujitsu Services Ltd	Richard Brunskill; Steve Parker; John Simpkins; Mike Stewart; Ken Wood; POA Library
Post Office Ltd	John Bruce (Post Office Ltd)

(\* ) = Reviewers that returned comments

Company-in-Confidence

Page: 2 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

### 0.3 Associated Documents

Reference	Version	Date	Title	Source
CS/QMS/007	1.1	28/02/02	Operations Manual for the Customer Service Directorate	

Unless a specific version is referred to above, reference should be made to the current approved versions of the documents.

### 0.4 Abbreviations/Definitions

Abbreviation	Definition
CM	Post Office Account Configuration Management
CP	Post Office Account Change Proposal
CS	Post Office Account Customer Service
OBC	Operational Business Change
OCP	Operational Change Proposal
OCR	Operational Correction Request
SSC	Post Office Account System Service Centre (3 <sup>rd</sup> line support)

### 0.5 Changes in this Version

Version	Changes
0.1	None this is the first draft
0.2	Complete rewrite of the process following changes
0.4	Additions added to Generating OCP added a Monitoring Box to form Section 2.2. Risk Identified to "Live Service" must add comments in the Comments Box especially on PO Generated OCPs
0.5	Admin errors corrected on Table of Content and page numbers 1.a "Work" removed from Introduction paragraph. 2.1 OCPs going outside of Fujitsu Services 2.7 added some extra comment on Escalation end of section

Company-in-Confidence

Page: 3 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

1.0	None, out for Approval
-----	------------------------

## 0.6 Changes Expected

Changes
<ul style="list-style-type: none"><li>• Possible changes as a result of the additions made.</li></ul>

---

Company-in-Confidence

Page: 4 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

## 0.7 Table of Content

<b>1.0</b>	<b>INTRODUCTION.....</b>	<b>6</b>
<b>2.0</b>	<b>THE OCP PROCESS.....</b>	<b>6</b>
2.1	PROCESS OVERVIEW.....	6
2.2	GENERATING AN OCP.....	7
2.3	APPROVING AN OCP.....	9
2.4	ACTIONING AN OCP.....	11
2.5	ADDING COMMENTS TO AN OCP.....	13
2.6	WITHDRAWING AN OCP.....	14
2.7	POST OFFICE LTD INVOLVEMENT IN OCPs AND CROSS-DOMAIN OCPs.....	14
<b>3.0</b>	<b>OCP ADMINISTRATIVE FUNCTIONS.....</b>	<b>16</b>
3.1	LISTING OCPs.....	16
3.2	SEARCHING FOR OCPs.....	18
3.3	SAVING OCPs.....	19
3.4	COPYING OCPs.....	19
3.5	ADDING AND DELETING ATTACHMENTS TO OCPs.....	20
<b>4.0</b>	<b>PGP AND PUBLIC KEY CRYPTOGRAPHY (OVERVIEW).....</b>	<b>21</b>
<b>5.0</b>	<b>OCP TURNAROUND TARGET TIMES.....</b>	<b>23</b>
<b>6.0</b>	<b>DIFFERENCES BETWEEN OCP AND OCR PROCESSES.....</b>	<b>23</b>

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

## 1.0 Introduction

This document describes the Operational Change Process and the use of OCPs. This document also describes the set up and use of PGP electronic signing keys. The document shows a PGP user how to set up a key, define a key ring of other “signers” and describes the signing operation which is used within Customer Service.

## 2.0 The OCP Process

### 2.1 Process Overview

All of the processes which make up the overall OCP process are performed on-line using the OCP and OCR sections of the SSC web site, with the exception of those OCPs which have cross-domain implications and therefore need to be communicated to Post Office Ltd – see section 2.7. All Fujitsu staff who are involved in the process must have access to the SSC web site.

The process flow of an OCP is as follows:

- OCP is raised
- If the OCP is not retrospective:

§ Post Office Account Operations are required to approve the OCP before it can be approved by any other team

§ Other teams can then approve the OCP

§ Once all required teams have approved the OCP Post Office Account Operations need to make it available to the actioning team before the OCP can be actioned.

- If the OCP is retrospective it is made available to the actioning team as soon as it is raised.
- The actioning teams action the OCP
- Post Office Account Operations then complete the OCP.

Once the OCP has been approved by Post Office Account Operations then people can add comments to it.

Once the OCP has been completed or withdrawn then no comments are permitted.

Only Post Office Account Operations can withdraw an OCP.

Only Post Office Account Operations have access to the History log that is written for each OCP.

OCPs sometimes need to be sent to users outside of Fujitsu Services (Post Office Account), or attached as evidence to a PinICL. In particular, OCPs which have cross-domain implications for example Network Banking or Debit Card need to be sent to Post Office so that they can be propagated to the other domains/suppliers of the Post office.

Whilst there is no special facility to do this, it is possible to achieve this, (refer to “Saving OCPs” section 3.3)

For retrospective OCPs teams may need to be aware that they have been raised.

If so then an email needs to be sent to inform teams that it has been raised so that comments can be added to the OCP.

Comments can not be added to the OCP once it has been completed.

---

Company-in-Confidence

Page: 6 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

## 2.2 Generating an OCP

Anyone can raise an OCP, except those who have logged onto the SSC web site using the "OCPview" user. OCPs raised by Post Office Ltd, either at their own behest, or on behalf of other domains are emailed to Post Office Account Operations, who will raise the OCP on the web site.

Once raised the OCP must then be approved by Post Office Account Operations, before it can be approved by any other team, unless it has been raised retrospectively.

If the OCP is raised retrospectively, then the approval stages are not required since the work has already been done, and so it is made available to be actioned without requiring Post Office Account Operations intervention.

Teams may be required to be aware of retrospective OCPs, and Post Office Account Operations will make those teams aware that the OCP has been raised. These teams can then add a comment to the OCP to state that they are aware of the OCP.

To raise an OCP, the user must take the following actions -

Click on the "Raise a HTML OCP" link.

As long as the user is not logged into the web site using the "OCPview" user, the Raise OCP form will be displayed

If the OCP is a retrospective OCP (i.e. the work has already been done) then the user should tick the "Raised retrospectively" box. Once the OCP has been raised it will be made available to the actioning team for them to action it.

Enter the date that the OCP is required to be actioned. This will default to today's date.

Enter the time that the OCP is required to be actioned. This is optional. If it is not entered then it will default to 23:59.

If the user has logged into the web site using a team username, then it is necessary to enter a full name in the "Raised by" field, otherwise this field will be filled in automatically and cannot subsequently be changed.

Enter an email address if the user wishes to be informed when the OCP has been completed. This is optional, except where the OCP has been raised at the request of Post Office Ltd, where their return email address should be entered.

Enter a title for the OCP. This should be something meaningful as this title will be displayed as part of the search results.

Enter a brief summary of the OCP. Again this will be displayed as part of the search results.

Enter the location where the changes are to take place. A list of common locations are in the drop down list to the right of this field. Selecting an entry in the drop down list will add it into the "Change at (Location)" field to its left.

Enter the Machine IDs of the machines affected, or if insufficient space, specify "See below" and enter the machine ids into the Details and Purpose of Change box.

Enter the type of change required.

Select the Priority and the Risk of the OCP from the drop down lists. The defaults are High Priority and Medium Risk.

Specify whether the change will affect the service from the drop down list. The default is "No".

---

Company-in-Confidence

Page: 7 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

Enter the scheduled duration of the change. This is optional.

Enter the Machine and Service IDs. These are optional.

Specify whether the system build is affected by this change. If it is then the Post Office Account Development Team will be automatically selected as a team required to approve the OCP when the details are transmitted to the server, unless the OCP is a retrospective one. The default is "No".

Specify whether the change is required on the LST rigs. The default is "No".

Enter the Powerhelp and PinICL call references. These are optional. The system will add leading zeros to both the Powerhelp and PinICL references. In addition, the system will ensure that the Powerhelp reference is in the correct format of "yymmddnnnn". For example, if today is 22/01/2002 and the user specifies the Powerhelp call reference as "1", the system will make that into a valid Powerhelp reference of "0201220001". When the OCP is raised it will contain a link to the Powerhelp call specified, which when clicked on will attempt to display the Powerhelp call details. The system does not check that the Powerhelp call entered actually exists.

Enter the originator's reference if any. This is optional.

Select the teams required to approve the OCP. This is optional in most cases. If the OCP is being raised for a business continuity test or for any part of the system which will have a cross-domain impact, for example changes to the Network Banking Agents, comms links to Streamline or IBM, routers etc, then the OCP must be forwarded to Post Office Ltd.

Individual teams can be selected by holding down the CTRL key. The list of teams does not include Post Office Account Operations, Post Office Account Service Management or Post Office Account SSC Support. These teams will be automatically added and required to approve the OCP when the details are transmitted to the server, unless the OCP is retrospective.

Select the teams that are to action the OCP.

Individual teams can be selected by holding down the CTRL key.

Post Office Account Operations can add teams to the OCP in both the list of teams to approve and to action. Any teams added this way apply only to this OCP.

Enter the details and the purpose of the change.

Enter any regression actions. This is optional.

Enter the justification for the OCP.

Complete the "Responsibility for Monitoring" box. This should specify the name of the person(s) or team(s) who have the responsibility for monitoring the effect of the OCP once implemented. In particular the monitoring should be checked that there is NO detrimental effect on the "Live Service". If necessary the details of the monitoring to be undertaken can be specified in the "Details & Purpose of Change" section.

Once the form has been filled in press the "Raise OCP" button.

The form will then be checked to ensure that the required fields have been completed.

If all is okay at this stage, the details will be transmitted to the server.

---

**Company-in-Confidence**

Page: 8 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

If the form fails the initial validation the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

If the server responds with an error then the user will need to press the "Back" button on their web browser and re-enter the correct details.

**NOTE – an OCP is raised in order to make a change to the live system. If the change is likely to affect a system build, then the relevant part of the form must be set to YES. If the change is being made to the system in order to overcome an operational deficiency which should be permanently fixed in the system code, then there MUST be a call raised to report the problem, and the call reference added to the OCP.**

## 2.3 Approving an OCP

Before the OCP can be actioned it must be approved. If the OCP is retrospective then this stage is not required and the OCP will already be available to be actioned.

Only the selected teams are allowed to approve the OCP. Once the selected teams have all approved the OCP then it is marked as approved.

Post Office Account Operations can approve the OCP on behalf of any of the teams, and they can also override the approval stage and make it available to be actioned if necessary. Once the OCP has been made available to be actioned then approvals will not be allowed.

If a team does not approve the OCP then they need to add a comment to that effect and inform Post Office Account Operations.

Teams selected to approve the OCP are shown in the OCP by an asterisk against the team. Once the team has approved the OCP this will change to the name of the person who approved it for that team.

Only users who are flagged as being able to approve for a team will have the option to approve an OCP in the Administration page.

If the user's username is not a team username then the system will only display a list of teams that the user can approve on behalf of. For most users this will be a single team.

If the user's username is a team username then the system will display a list of teams that the team username can approve on behalf of. When the user enters their UK domain username, the system will check that the user entered is allowed to approve for the team selected.

For teams that have been added to the OCP when raised or approved by Post Office Account Operations, then Post Office Account Operations must approve the OCP on behalf of that team.

More than one person in a team can approve for that team, even when the status of the OCP has changed to Approved.

People can approve the OCP until it is made available to the actioning team.

If an OCP needs to be communicated to Post Office Ltd, then it is emailed to them by Post Office Account Operations. It is expected that Post Office Ltd will respond to such emails within four hours. If the OCP has been raised at the request of Post Office Ltd, then approvals from teams within Post Office Account , and a

---

Company-in-Confidence

Page: 9 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

**Fujitsu Services**

**Operational Change Process**

**Ref: CS/RRD/019**

**Version: 1.0**

**Company-in-Confidence**

**Date: 18-MAR-2004**

---

return email is expected within 3 hours (in order to enable Post Office Ltd to meet the 4 hour commitment to other domains).

In order to approve an OCP, the user must do the following actions –

From the High, Medium or Low priority pages:

- Click on the required OCP reference.
- This will display the Administration page with the OCP reference filled in and the action “Approve OCP” already selected.
- Press the “Do Action” button to display the approval form.

From the “Approve/Action by team” page:

- Click on the required OCP reference.
- This will display the approval form.

From the Administration page:

- Enter the OCP reference and select the action “Approve OCP”.
- Press the “Do Action” button to display the approval form.

If the user has logged into the web site using a team username, then he / she will need to enter:

- a. Their full name in the “Approved by” field
- b. Their UK domain username in the “User name” field

otherwise these fields will be completed automatically and cannot be changed

Enter the password that matches the username displayed or UK domain username entered as appropriate.

From the drop-down list select the team for which the user is approving. For the majority of people this will only have a single team.

Add any comments that may be necessary. This is optional BUT Approvers should ensure that any RISK that they identify associated with the OCP is included in the “comments section”. For OCP’s initiated by Post Office Limited this is particularly important.

The text in the PGP field is automatically generated by the system and it is this text which should be digitally signed by the user.

If the user is known to the system then their name will already be included, otherwise it will be included when the user enters it in the “Approved by” field above. Changing the user’s name in the “Approved by” field will change it in this field also.

The system will check that the text displayed in the box when the form is first displayed is the text that has been PGP signed.

If the user wishes to add to this text then this should be done on a new line.

To produce a PGP signature the user must do the following:

1. Highlight the text in the PGP Signature box and cut (Ctrl X) the text.

---

**Company-in-Confidence**

Page: 10 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

2. This will automatically place the text in the clipboard.
3. In the icon tray on your taskbar, select the PGPTray icon and on the menu that appears select the 'Sign Clipboard' option.
4. This will display the window requesting your passphrase.
5. Enter your passphrase and click the "Ok" button.
6. If your passphrase is valid then the passphrase window will disappear. The text on the clipboard will be replaced with a PGP signed version.
7. Otherwise you will need to re-enter your passphrase.
8. You will then need to paste (Ctrl V) into the PGP Signature box replacing any text that is currently in the box. This will paste the PGP signature from the clipboard into the PGP Signature box.

Once the form has been filled in press the "Approve OCP" button.

The form will then be checked to ensure that the user has entered the required fields.

If all is okay at this stage, the details will be transmitted to the server which will check that the user is allowed to approve for the team selected.

If the form fails the initial validation the cursor will be placed into the field that caused the error and you will need to correct the error before the details will be transmitted to the server.

If the server responds with an error then the user will need to press the "Back" button on their web browser and re-enter the correct details.

Note:

Pressing the "Back" button on the web browser will clear any user name and password entered, and also reset the text in the PGP field.

Once the details have been accepted by the server it will respond with a message saying so.

Once all the teams required to approve the OCP have done so, the response from the server will include a link with which to email Post Office Account Operations informing them that all the required teams have approved the OCP.

## 2.4 Actioning an OCP

OCPs can only be actioned once they have been made available to the actioning team either explicitly by Post Office Account Operations, or automatically if the OCP is a retrospective one.

If an OCP needs to be done over a period time, then comments can be added to it to keep people updated on it's progress.

Once all the required teams have filled in the action form, then it is automatically made available to Post Office Account Operations for them to mark as completed.

Only the teams stated in the OCP as required to action the OCP can action the form. Post Office Account Operations can also action the OCP on behalf of a particular team, but again only if that team is in the list of teams required to action it.

---

Company-in-Confidence

Page: 11 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

Only users who are flagged as being able to action for a team will have the option to action an OCP in the Administration page.

If the user's username is not a team username then the system will only display a list of teams that the user can action on behalf of. For most users this will be a single team.

If the user's username is a team username then the system will display a list of teams that the team username can action on behalf of. When the user enters their UK domain username, the system will check that the user entered is allowed to action for the team selected.

For teams that have been added to the OCP when raised or approved by Post Office Account Operations, then Post Office Account Operations must action the OCP on behalf of that team.

In order to action an OCP, the user must take the following actions –

From the "To be Actioned" pages:

- Click on the required OCP reference.
- This will display the Administration page with the OCP reference filled in and the action "OCP has been actioned" already selected.
- Press the "Do Action" button to display the action form.

From the "Approve/Action by team" page:

- Click on the required OCP reference.
- This will display the action form.

From the Administration page:

- Enter the OCP reference and select the action "OCP has been actioned".
- Press the "Do Action" button to display the action form.

If the user has access into the web site using a team username, then they will need to enter:

- a. a full name in the "Actioned by" field
- b. a UK domain username in the "User name" field

otherwise these fields will be completed automatically and cannot be changed.

Enter the password that matches the username displayed or UK domain username entered as appropriate.

From the drop-down list select the team that the user is actioning for. For the majority of people this will only have a single team and will already be selected.

Enter the date that the OCP was actioned. This will default to today's date.

The user can also enter the time that the OCP was actioned. This is optional.

Add any notes on the implementation or if the implementation had to be varied, as necessary. This is optional.

Once the form has been filled in press the "Action OCP" button.

The form will then be checked to ensure that the required fields have been completed.

If all is okay at this stage, the details will be transmitted to the server which will check that the user is allowed to action for the team selected.

If the form fails the initial validation the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

---

Company-in-Confidence

Page: 12 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

If the server responds with an error then the user will need to press the “Back” button on their web browser and re-enter the correct details.

Note:

Pressing the “Back” button on the web browser will clear any user name and password entered.

Once the details have been accepted by the server it will respond with a message saying so.

There will also be a link with which to email Post Office Account Operations informing them that the OCP has been actioned.

## 2.5 Adding Comments to an OCP

Comments can be added at any time once the OCP has been approved by Post Office Account Operations.

Comments can not be added to the OCP once it has been completed or withdrawn.

Any user, except the “OCPview” user, can add a comment.

In order to add comments, the user must –

From the Administration page:

- Enter the OCP reference and select the action “Add a comment”.
- Press the “Do Action” button to display the add a comment form.

If the user has logged into the web site using a team username, then they will need to enter:

- a. A full name in the “Comment by” field
- b. The UK domain username in the “User name” field

otherwise these fields will be completed automatically, and cannot be changed

Enter the password that matches the username displayed or UK domain username entered as appropriate.

Add any comments that are required. This is mandatory.

Once the form has been filled in press the “Add Comment” button.

The form will then be checked to ensure that you have entered the required fields.

If all is okay at this stage, the details will be transmitted to the server.

If the form fails the initial validation the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

If the server responds with an error then the user will need to press the “Back” button on their web browser and re-enter the correct details.

Note:

Pressing the “Back” button on the web browser will clear any user name and password entered.

Once the details have been accepted by the server it will respond with a message saying so.

---

Company-in-Confidence

Page: 13 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

## 2.6 Withdrawing an OCP

An OCP may be withdrawn at any stage unless it has been completed.

To withdraw an OCP, the user must take the following steps –

From the Administration page:

- Enter the OCP reference and select the action “Withdraw OCP”.
- Enter the password that matches the username displayed.
- Press the “Do Action” button. When the user presses the “Do Action” button, they will be asked “Are you sure you want to withdraw this OCP?”. If the user is sure then they answer by selecting the “OK” button.
- The withdraw OCP form will then be displayed.

Enter the password that matches the username displayed.

Enter the reason for withdrawing the OCP.

Once the form has been filled in press the “Withdraw OCP” button.

The form will then be checked to ensure that the required fields have been completed.

If all is okay at this stage, the user will be asked to confirm that they want to withdraw the OCP. If they press the “OK” button then the details will be transmitted to the server.

If the form fails the initial validation the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

If the server responds with an error then the user will need to press the “Back” button on their web browser and re-enter the correct details.

### Note:

Pressing the “Back” button on the web browser will clear any user name and password entered.

Once the details have been accepted by the server it will respond with a message saying so.

Once withdrawn no further updates are allowed to the OCP.

## 2.7 Post Office Ltd involvement in OCPs and Cross-Domain OCPs

Post Office Ltd act as the central point of contact in the change process between domains. In this context, the term “domain” refers to Post Office Ltd suppliers such as IBM for Network Banking, Streamline for Debit card, TSD for incident management etc.

Post Office Ltd needs to communicate Post Office Account OCPs to other affected domains and also needs to communicate to Post Office Account any changes in the other domains which may have an impact on the Post Office Account operations.

All OCPs which require changes to be made to software or equipment which interfaces to other domains must include Post Office Ltd as one of the teams to be informed on the OCP. This **will** include any changes to Network Banking agents, routers and network equipment which connects to an external domain and **may**

---

Company-in-Confidence

Page: 14 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

**Fujitsu Services****Operational Change Process****Ref: CS/RRD/019****Version: 1.0****Company-in-Confidence****Date: 18-MAR-2004**

---

include changes to other parts of the system where an impact on an external domain is likely. Any OCP which is passed to Post Office Ltd should specify the impact on other domains in business terms

It is Post Office Ltd's responsibility to communicate any Post Office Account OCP which is passed to them to the other affected domains, to collate the responses from those domains, and to pass these comments to Post Office Account, specifically by email to Post Office Account Operations. From the Post Office Account point of view, these OCPs will arrive as emails to Post Office Account Operations, who will generate the OCP and inform interested teams that the OCP is available for approval. On completion of the OCP, or if any Post Office Account team raise any issues, then Post Office Account Operations will communicate these to Post Office Ltd. For teams other than the .Post Office Account Operations team, the OCP is treated in the same manner as an internally raised OCP.

It is Post Office Ltd's responsibility to ensure that all operational changes reported to them from other domains, which may have an impact on Post Office Account operations, are communicated via email to Post Office Account Operations with a request that an OCP be raised, and to ensure that the Post Office Account responses are communicated to the originating domain.

It is expected that OCPs passed from the Post Office Account to Post Office Ltd will receive an emailed response within 2 hours. This has a dependency on the Post Office working day, which should be taken as 09:00 until 17:00 weekdays only. Any OCP communicated to Post Office Ltd after 15:00 should not expect a response until the following working day. This does not necessarily mean that the OCP should not go ahead – if there is an obvious business requirement for the work to be done, then the normal process for retrospective OCPs remains, even for cross-domain changes (typically, for example, an OCP is raised when a central server reboot is required. It is not the intention of this process to hold-up work which is obviously required for hours awaiting approval, and the reboot should go ahead, with the OCP process merely providing a post-action audit trail).

Some OCPs are for planned activities, for example IBM maintenance slots, Energis line replacement etc. Such OCPs are not urgent, and therefore the timescales for impact from all teams, including Post Office Ltd, are not expected to receive responses within two hours, and the timescales can be adjusted accordingly.

Although every attempt should be made to inform Post Office Ltd of the result of an OCP, there is an assumption that an approved OCP will be actioned at the time specified in the OCP. There is a obligation on the actioning team to inform Post Office Account Operations if an OCP has NOT been actioned. It is then the responsibility of the Post Office Account Operations team to inform Post Office Ltd.

There is an equivalent obligation on Post Office Ltd to inform Post Office Account Operations in the event that an OCP which had been notified to Post Office Account, but which was taking place in a different domain did not take place as planned.

If there are any issues with the OCP process as it relates to cross-domain changes, then the escalation route between Post Office Account and all other domains is via the SSC manager from Post Office Account and the Release Implementation Manager on behalf of Post Office Ltd.

The Escalation Process would be invoked in a timely manner before actioning or approval has been denied. The normal escalation routes would be used by both the POA SSC Manager & the POL Release & Implementation Manager.

---

**Company-in-Confidence**

Page: 15 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

## 3.0 OCP Administrative Functions

### 3.1 Listing OCPs

In order to make it easier for the various teams to monitor when they are required to approve or action an OCP, or to see what OCPs are at various stages in the process, there are a number of links on the menu which list the OCPs

There are a number of ways to list the OCPs:

- a) By team
- b) By priority
- c) By status
- d) List of scheduled activities

These links can be accessed by anyone.

When listing by team, and the “Scheduled Activities” page, the information is retrieved directly from the Index Server database, and so it may take up to twenty minutes before any changes are reflected in that page.

The other links access the files directly and so will always reflect the actual state of the OCP as soon as a change is made.

Listing by team does not include any team names that have been added to an OCP.

Each listing, with the exception of “To be Completed”, use a sequence of colour coding to reflect various stages depending on the type of listing.

Generally, yellow entries reflect the fact that the OCP is getting close to some limit, with red reflecting the fact that the OCP has exceeded that limit.

#### 3.1.1 List OCPs by Team

The link “Approve/Action by team” initially displays a drop down list containing all the teams.

The User should select the required team and press the “List OCP’s” button.

This will then list any OCP’s that are awaiting to be approved or actioned by the team selected.

The page is refreshed automatically every 60 seconds.

The details displayed are taken from the Index Server on the web site. This can take a few minutes to reflect any changes to existing OCPs or new OCPs just raised.

The meaning of the colour coded entries depends on the status of the OCP, whether “Authorize”, “Approve”, “Approved” or “Action”.

Only the “Approve” and “Action” statuses have colour coded entries.

If the status is “Authorize” then the OCP needs to be approved by Post Office Account Operations before it can be approved by any other team. It will only appear if the Post Office Account Operations team is selected.

If the status is “Approve” then the OCP needs to be approved by the team selected.

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

Entries that are coloured yellow are within 15 or 30 minutes of exceeding the required approval time depending on the priority of the OCP. For High priority OCPs this will be 15 minutes, for Medium and Low they will both be 30 minutes.

If the status is "Approved" then the OCP needs to be made available to the actioning team by Post Office Account Operations.

If the status is "Action" then the OCP needs to be actioned by the selected team.

Entries that are coloured green are to be actioned tomorrow.

Entries that are coloured yellow are to be actioned today.

Entries that are coloured red should have been actioned already.

### 3.1.2 List OCPs by Priority

There are three links available which display all the OCP's at a particular priority.

Click on the relevant link to list the OCPs at that priority.

For High priority OCPs, the page is refreshed every 30 seconds.

High priority OCPs should be approved within 30 minutes of being raised.

Entries that are coloured yellow have less than 15 minutes of approval time left.

Entries that are coloured red have failed to be approved with the 30 minutes required.

For Medium priority OCPs, the page is refreshed every 5 minutes.

Medium priority OCPs should be approved within 2 hours of being raised.

Entries that are coloured yellow have less than 30 minutes of approval time left.

Entries that are coloured red have failed to be approved with the 2 hours required.

For Low priority OCPs, the page is refreshed every 30 minutes.

Low priority OCPs should be approved within 24 hours of being raised.

Entries that are coloured yellow have less than 30 minutes of approval time left.

Entries that are coloured red have failed to be approved with the 24 hours required.

The pages show the teams that have yet to approve the OCP.

Entries that are coloured in cyan have been approved by all the required teams and is waiting for Post Office Account Operations to make them available to the actioning team.

### 3.1.3 List OCPs by Status

There are five links available which display all the OCPs at the statuses of "To be Authorized", "To be Approved", "To be made available for actioning", "To be actioned" or "To be completed".

Click on the relevant link to list the OCPs at that status.

The pages refresh automatically every 5 minutes.

---

Company-in-Confidence

Page: 17 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

The “To be Authorized”, “To be Approved” pages have the same colour coding of entries as for listing by priority.

The “To be made available for actioning” page does not have any colour coding of entries.

The “To be actioned” page has colour coded entries:

Entries that are coloured green are to be actioned tomorrow.

Entries that are coloured yellow are to be actioned today.

Entries that are coloured red should have been actioned already.

The “To be completed” page does not have any colour coding of entries.

### 3.1.4 List of Scheduled Activities

This link provides a form from which you can select the scheduled activities for a particular year and month.

By default this will include OCPs that have been completed or withdrawn, though by unticking the “Include Completed and Withdrawn OCP’s” option.

The list of scheduled activities does not include any retrospective OCPs since these are by their nature unscheduled.

The year is selectable starting at 2002 with an upper limit of two years from the current year. So in 2003 the years will go from 2003 to 2005, in 2004 they will go from 2004 to 2006, and so on.

The year and month default to the current year and month.

When the “Display activities” button is pressed, it will then display all the OCPs for the selected year and month.

At the bottom of the display will be links to move to the previous or next month.

Each page will show the OCPs sorted by the Activity date (ie. Required Implementation date), the status of the OCP, with the Machine and Service ID if entered, with the title of the OCP in the Activity column.

These pages do not auto-refresh.

Clicking on the OCP reference will view the OCP.

## 3.2 Searching for OCPs

Once an OCP has been raised it can be searched for using the Search page.

The search page uses Microsoft Index Server to index the OCPs. This relies on reading a number of properties within the OCP itself. This can take a few minutes and so the user should allow a maximum of 20 minutes for the Index Server to do this.

If a free-text search fails to find the OCP expected, this may be because the text for which the search is being made is in a property. To search for a property use the “Property” drop down list to specify it.

There is detailed online help available from the search page itself which gives detailed information on how to perform searches on properties, and also deals with the construction of complex queries.

The results of the search will be either a page containing a maximum of ten or twenty hits. If the box “Return the titles only” is ticked then it will display a maximum of twenty hits per page which will include just the

---

Company-in-Confidence

Page: 18 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

titles of the OCPs found, otherwise it will display a maximum of ten hits per page which includes the title and the summary of the OCPs found.

Because of the way the data is stored in the server it is not possible to search on a range of dates, a search needs to be made for each individual date. There is a separate search page (HTML OCP Date Search) which does the date range search. The user enters the range of dates and the system will then search the server for all the dates in between and then display the results in the same format as the Scheduled Activities page.

Further details on conducting searches are available on the web page

### 3.3 Saving OCPs

OCPs sometimes need to be sent to users outside of Fujitsu Services (Post Office Account), or attached as evidence to a PinICL. In particular, OCPs which have cross-domain implications for (for example) Network Banking or Debit Card need to be sent to Post Office so that they can be propagated to the other domains/suppliers of the Post office.

Whilst there is no special facility to do this, it is possible to achieve this by doing the following:

View the OCP.

In the web browser, right click to bring up the context menu.

In the context menu should be an entry "View Source".

Selecting the "View Source" option will open a Notepad window containing the source of the web page.

In the Notepad window select "File", "Save As..." and save the source to a file on your hard disk with the filename "OCPnnnn.htm" where "nnnn" is the OCP reference, and filetype of "All files (\*.\*)".

The saved file can now be emailed or attached to a PinICL as required.

### 3.4 Copying OCPs

Sometimes OCPs will be raised which are copies of previous OCPs. To save having to type the details out all over again, the previous OCP can be copied.

Anyone can copy an OCP, except if you have logged in using the "OCPview" user.

The Raise an OCP page will be displayed with all the details of the previous OCP except the following:

The Retrospective flag is not set,

The Required Implementation date is not copied,

The name of the person who raised the OCP is not copied,

The date the OCP was raised is not copied,

Any teams added to the OCP are not copied.

The OCP can then be amended as needed and submitted as if the details had been typed in manually.

There is no indication that the OCP is a copy of a previous OCP.

---

Company-in-Confidence

Page: 19 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

Once the Raise an OCP page is displayed then the same rules as for Raising an OCP apply.

### 3.5 Adding and deleting attachments to OCPs

Files can be attached to the OCP and also removed from the OCP.

Files can only be attached and removed by Post Office Account Operations.

Files can only be attached once the OCP has been approved by Post Office Account Operations.

Files can not be attached or removed once the OCP has been completed.

If an OCP is withdrawn then any attachments are deleted.

Any file type may be attached, but the current size restriction is 2 Mb.

If a file greater than this size is attempted to be attached then Internet Explorer will return an error saying it can not find the page.

Only 1 file can be attached at a time, although a number of files can be deleted at the same time.

If a file of the same name already exists in the Attachments directory then it will be overwritten if added as an attachment.

To add and delete attachments, the Post Office Account user should -

From the Administration page:

- Enter the OCP reference and select the action "Add an Attachment" or "Delete an Attachment".
- Press the "Do Action" button to display the attachment form.

If the user has logged into the web site using a team username, then they will need to enter:

- a. A full name in the "Approved by" field
- b. The UK domain username in the "User name" field

otherwise these fields will be completed automatically and cannot be changed

Enter the password that matches the username displayed or UK domain username entered as appropriate.

#### 3.5.1 Adding Attachments:

The list of current attachments is displayed.

The user will need to enter the full pathname of the file to be added.

The "Browse" button will display a window allowing the selection of a file.

Once the form has been filled in press the "Add Attachment" button.

If the file with the same name is already attached (as shown in the list of current attachments) then the user will be asked if they want to overwrite the current attachment.

The form will then be checked to ensure that the required fields have been entered.

If all is okay at this stage, the details will be transmitted to the server which will check that the user is allowed to attach files.

If the form fails the initial validation, then the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

---

Company-in-Confidence

Page: 20 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

If the server responds with an error then the user will need to press the “Back” button on their web browser and re-enter the correct details.

Note:

Pressing the “Back” button on the web browser will clear any user name and password entered.

Once the details have been accepted by the server it will respond with a message saying that the number of attachments has been updated.

If an error stating that the page could not be displayed is output , then the user should check the size of the attachment. The user should find that it is over the limit (displayed on the form).

### 3.5.2 Deleting Attachments:

The list of current attachments is displayed.

Select the attachments that are to be deleted.

Individual attachments can be selected by holding down the CTRL key.

Enter the reason for deleting the attachments.

Once the form has been filled in press the “Delete Attachments” button.

The user will be asked if you want to delete the selected attachments.

The form will then be checked to ensure that the required fields have been entered.

If all is okay at this stage, the details will be transmitted to the server which will check that the user is allowed to delete files.

If the form fails the initial validation the cursor will be placed into the field that caused the error and the user will need to correct the error before the details will be transmitted to the server.

If the server responds with an error then the user will need to press the “Back” button on their web browser and re-enter the correct details.

Note:

Pressing the “Back” button on the web browser will clear any user name and password entered.

Once the details have been accepted by the server it will respond with a message saying that the number of attachments has been updated.

## 4.0 PGP and Public Key Cryptography (Overview)

With PGP™, you can protect the privacy of your email messages and files by encrypting them so that only the intended recipients can read them. You can also digitally sign messages and files, which ensures their authenticity. A signed message verifies that the information within it has not been tampered with in any way.

PGP is based on a widely accepted encryption technology known as public key cryptography in which two complementary keys—a key pair—are used to maintain secure communications. To send someone a private email message, you use a copy of that person’s public key to encrypt the information, which only they can

---

Company-in-Confidence

Page: 21 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

**Fujitsu Services**

**Operational Change Process**

**Ref: CS/RRD/019**

**Version: 1.0**

**Company-in-Confidence**

**Date: 18-MAR-2004**

---

decipher by using their private key. Conversely, when someone wants to send you encrypted mail, they use a copy of your public key to encrypt the data, which only you can decipher by using a copy of your private key.

You also use your private key to sign the email you send to others. The recipients can then use their copy of your public key to determine if you really sent the email and whether it has been altered while in transit. When someone sends you email with their digital signature, you use a copy of their public key to check the digital signature and to make sure that no one has tampered with the contents.

To use PGP, you must first create a key pair.

Instructions concerning the installation of the PGP software, and full instructions on the creation of keys, together with a downloadable version of the PGP software are currently held on the SSC web server "SSCDIAG3". It is expected that they will shortly be moved to the SSC web server "Scarper".

---

**Company-in-Confidence**

Page: 22 of 23

(CONTRACT CONTROLLED - Leave Blank if Not Applicable)

Fujitsu Services

Operational Change Process

Ref: CS/RRD/019

Version: 1.0

Company-in-Confidence

Date: 18-MAR-2004

---

## 5.0 OCP turnaround target times

The following turnaround times are set as targets and include all the signatories involved.

Urgent – 2 hours

Routine – 8 hours

Janet and Jean will chase the OCP to ensure sign off but it is down to the individual to ensure that sign off is within the required timescale. Mandatory signers must regularly monitor their email for OCP's, Optional signatories will be told about the sign off by the Duty Manager.

## 6.0 Differences between OCP and OCR processes

The OCP process is administered by Post Office Account Operations, and is available to all users for the administration, authorisation and auditing of changes made to the live operational service.

The OCR process involves the correction of customer data on the live system, and because user data is involved, requires different approvals and auditing.

Only the SSC has the authority to make changes to the data on the system, and therefore only SSC staff can action an OCR .

In most cases, an OCR does not involve the financial integrity of the system. Under these circumstances one of the SSC Manager, the Support Services Manager or the Customer Service Duty Manager can approve an OCR. If the data to be changed has a financial impact on Post Office, then approval must also be given by a senior Post Office Manager.

When an OCR has been approved, and has been actioned, it is necessary for two users of the OCP system to confirm that the work has been done – an actionee and a witness. The actionee will always be an SSC staff member, the witness can either be an SSC staff member or a development staff member.