

From: Paul Loraine [GRO]

To: "Rodric Williams" [GRO]

[GRO] "Mark Underwood1"

[GRO]

Cc: Andrew Parsons [GRO]

Subject: Questions for FJ on Transaction Logs [BD-4A.FID26610170]

Date: Tue, 25 Jul 2017 14:40:36 +0000

Importance: Normal

Inline-Images: image33f225.JPG; imageefcb4c.PNG; image490d88.PNG; image671cfc.PNG

Rod,

As discussed on our call earlier, there are some outstanding questions from the CCRC on transaction logs on which we need FJ's input.

Please can we put the questions highlighted below to FJ?

I have included some context below as it may help FJ to show how the CCRC arrived at the questions.

As discussed, we have parked the KEL queries to see if the CCRC still need these to be answered once they have read POL's Group Action Defence. I note that para 50(4) in the Defence does not cover any of the detail requested by the CCRC (how is it searchable/retention policy etc.) but it may be that on understanding a little more about what the KEL is (ie. it is not a record of software coding errors/bugs), the CCRC are less interested in that line of questioning.

Thanks,

Paul

By way of some context, further to a call between Shirley Hailstones and Rodric Williams from POL and Amanda Pearce of the CCRC on 9 January, Shirley asked FJ the following questions:

(a) Sometimes 'Session Id' and 'Txnid' columns don't appear to be sequential: what is the reason for this?

(b) In this example of a session ID: 44-167427-1-1318040-2 - what does the 44 at the start & the 2 at the end refer to? I'm assuming the 44 is maybe something to do with UK phone line? But I don't know what the 2 is for?

Farzin Farbali from FJ responded on 11 and 12 January:-

· The explanation provided for Session Ids not being sequential (in Farzin's 11 January email) is that "session ids are also allocated to logon and logoff events but these events do not appear on the file which lists the transaction details".

· The explanation provided for Transaction Ids not being sequential (in Farzin's 12 January email) is that "there is no requirement for these to be in sequence so they can go: 4,2,3,7 etc. The missing sequence numbers are a result of transactions being added to a session and then removed so only the Transaction Ids used in the completed session are shown".

The CCRC have two follow up questions:-

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· are the JSN numbers which are central to Horizon's Core Audit process contained in the Session Ids or the Transaction Ids?

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· are the JSN numbers visible in data available to the branch?

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