

**End to End Reconciliation Reporting**
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)

Document Title: End to End Reconciliation Reporting

Document Type: Service Description

Release: Release Independent

Abstract: This document specifies the reconciliation report output to satisfy the end to end reconciliation of the Banking and related services and the Automated Payment Service (APS).

Document Status: Approved

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External Distribution: As Approver list

Security Risk Assessment Confirmed YES, security risks have been assessed, see section 0.9 for details.

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Note: See Post Office Account HNG-X Reviewers/Approvers Role Matrix (PGM/DCM/ION/0001) for guidance.



0 Document Control

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0.2 Document History

| Version No. | Date | Summary of Changes and Reason for Issue | Associated Change - CP/PEAK/PPRR Reference |
|-------------|---------------------------|--|--|
| 0.1 | 22/11/06 | First draft for review. | N/A |
| 1.0 | 02/02/07 | Issued for approval. | N/A |
| 1.1 | 27/10/08 | Change of owner and Reviewers for CCN | |
| 1.2 | 07/05/11 | Post HNG-X Migration and change of owner | N/A |
| 1.3 | 22-Jun-2011 | Revisions following internal review | |
| 2.0 | 22 Jun 11 | Document Approved | |
| 2.1 | 23 Nov 11 | Release 5.5 Review | |
| 3.0 | 4 May 12 | Annual Review | |
| 3.1 | 18 March 2013 | Annual Review | |
| 3.2 | 14 th Oct 2016 | Clarifications added | |
| 4.0 | 04-Sep-2017 | Approval version [replaces CS/SPE/011] | |
| 4.1 | 4th Oct 2019 | Changes due to HNG-X/POLSAP Application Separation Changes due to Banking Changes to accommodate Non-Link Transactions | CT2561 CT1436 |
| 4.2 | 27 th Jan 2020 | Remove the TPS interface to DRS (Feed of C112 messages) | CWO189a (Release 20.35) |
| 4.3 | 04-Feb-2020 | Amended reviewers, labelled changes for review purposes. | |
| 4.4 | 27-Feb-2020 | Remove DRS State E25 in response to comments | CWO189a |
| 4.5 | 24-Mar-2020 | Added Steve Page as Post Office reviewer | |
| 4.6 | 2-Apr-2020 | Updated in response to comments | |
| 4.7 | 7-Apr-2020 | Replace section 4 (APS Reconciliation) with new reconciliation reports generated from the Branch Database. Remove Section 5 (TPS Reconciliation) since TPS no longer exists | CWO0189a (Release 20.45) |
| 4.8 | 22-Apr-2020 | Updated following comments from Steve Page, Bob Booth, Phil Boardman and SSC Team | |
| 5.0 | 23-Apr-2020 | Document Approved | |



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0.3 Review Details

| | |
|---|--|
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(*) = Reviewers that returned comments

0.4 Associated Documents (Internal & External)

| Reference | Version | Date | Title | Source |
|-------------------------------------|---------|------|---|------------------|
| PGM/DCM/TEM/0001 (DO NOT REMOVE) | | | Fujitsu Services Post Office Account HNG-X Document Template | Dimensions |
| SVM/SDM/PRO/0012 | | | Reconciliation and Incident Management - Joint Working Document | Dimensions |
| SVM/SDM/SD/0015 | | | Reconciliation Service Description | Dimensions |
| NB/SPE/002 | | | Network Banking DRS On-line Workstation Specification | Dimensions (PWY) |
| | | | | |



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Unless a specific version is referred to above, reference should be made to the current approved versions of the documents.

0.5 Abbreviations

| Abbreviation | Definition |
|--------------|---|
| APS | Automated Payment Service |
| C12 | A transaction record from the Horizon Counter or Self-Serve Kiosk |
| C112 | A transaction record from the Horizon Counter or Self-Serve Kiosk that has been delivered to POLSAP. This transaction no longer exists |
| C4 | A record of confirmation from a Financial Institution |
| CTS | Client Transmission Summary |
| D | A transaction record received from a Financial Institution that advises of a discrepancy |
| DCP | Debit/Credit Card Payment |
| DCS | Debit/Credit Card System |
| DRS | Data Reconciliation Service |
| ETS | Electronic Top-Up Service |
| F99 | A transaction state that indicates that a reconciliation error has been reported but POL has advised that the issue has subsequently been resolved. This state is set using the DRS Workstation application that is used by Fujitsu Security Operations team. |
| FI | Financial Institutions |
| ICC | Integrated Circuit Card |
| MA | Merchant Acquirer |
| PIN | Personal Identification Number |
| PODG | Post Office Data Gateway |
| POLDG | Post Office Limited Data Gateway – This is a platform that sits outside the Horizon system that is accessible by POL back office staff. PODG has a number of routes that deliver data files to and from this platform. |
| POLSAP | Post Office Ltd. Consolidated SAP System |
| S | A transaction record that is generated by the Debit Card Management server that advises DRS when the expected Settlement date is. |
| TIP | An identifier that is printed on some of the reconciliation reports. The meaning of this identifier is described in the descriptive text that immediately follows the report layout definition later in this document |
| TMS | Transaction Management Service |
| TPS | Transaction Processing Service |

0.6 Glossary

| Term | Definition |
|---------------------|--|
| Bank_Transaction_Id | Message sequence number assigned by the message originator, to assist in identifying a transaction uniquely. Stays unchanged through the life of the transaction. |
| C4 Settlement Date | The Settlement Date provided on the C4 transaction. |
| Credence | Post Office Ltd. Management Information Service |
| Exception Types | <p>Within all reports the 'Exceptions' category will include:</p> <ul style="list-style-type: none"> 'Incomplete States', i.e. those transactions where one or more transaction component is missing – a C4 without a C12 etc Genuine exceptions where transaction components belonging to the same high level |



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| | |
|---------------------|--|
| | <ul style="list-style-type: none"> transaction are of different value, e.g. C12 (amount) not = to C4 (amount). <p>Transaction corruptions</p> |
| HNG-X_Txn_Num | Unique transaction number to be used in all messages between HNG-X and the FIs relating to the transaction. Generated by HNG-X and provided in the request message initiating the transaction. |
| 'New' Transactions | Transactions that have had a change of state since they were last reported, or have never been reported except in NB102 section 6. Note that if a transaction appears in NB102 section 6 it is future dated as is reported in that section for information only. Once future dated transactions become current dated, they must be reported as though they have not appeared on the reports before. |
| 'Old' Transactions | Transactions that have NOT had a change of state since they were last reported. |
| POLSAP Transactions | POLSAP was retired in 2019 and POLSAP transactions do not exist |
| POLSAP | Post Office Ltd Consolidated SAP System. This system no longer exists |
| Receipt Date | Receipt Date is the Date as printed on the transaction Receipt at the Counter. It forms part of all transactions. |
| Receipt Time | Receipt Time is the Time as printed on the transaction Receipt at the Counter. It forms part of all transactions. |
| Reconciliation Date | The Reconciliation Date is the date attributed to a transaction to allow Post Office Ltd. to reconcile. It will be set the first available Bank Settlement date from the transaction elements (C12, C4, S & D) that make up a Network Banking transaction. If no Bank Settlement date is available, the Reconciliation Date will be set to the processing date that the Data Reconciliation Service first recorded any element of the transaction being received. If a Settlement date subsequently becomes available, the first available Bank Settlement date will replace the processing date. However, once a transaction has been accounted for on the reconciliation reports, the Reconciliation Date will never change. |
| Routing Gateway | Identifies a system, where the authorisation for a specific transaction should be sought. |
| Run Date | This is the System Processing Date for which the report refers, i.e. all transaction components processed by the DRS on System Processing Date dd/mm/yyyy are accounted for on this report. |
| Settlement Date | Settlement Date is often the same as Run Date but some Financial Institutions may assign a Settlement Date in the future: e.g. the following Monday may be set on transactions processed on the preceding Friday, Saturday and Sunday. |
| Txn_Type | See Appendix A - Transaction Types |

0.7 Changes Expected

| Changes |
|---------|
| |

0.8 Copyright

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0.9 Security Risk Assessment

Security risks have been assessed and it is considered that there are no security risks relating specifically to this document.



1 Introduction

This document has been compiled to specify Fujitsu Services outputs from the Data Reconciliation Service (DRS) and the Automated Payment Service (APS) so as to enable a generic end to end reconciliation of

1. Banking and Related Services transactions, which includes DCS and ETS
2. Automated Payment System (APS)

2 Scope

This document defines the format and content of all reconciliation reports for HNG-X, which satisfies the DRS and APS reconciliation requirement. It does not attempt to define within the operating systems how the transactions are processed.

This document does not attempt to define the business processes undertaken within Fujitsu Services and Post Office Ltd. with respect to the resolution of any exceptions which may arise, nor does it scope the requirement for any systems that may be required to assist in this process. This information can be found in the associated documents, reference:-

- SVM/SDM/PRO/0012: Reconciliation and Incident Management - Joint Working Document

3 Network Banking Reconciliation Reports Specified

For Network banking services each of the Post Office Ltd. Clients, as dictated by Routing Gateway, will require a separate reconciliation report set.

Within this report set, separate reports will be provided as per the following table:-

| Report Number | Deposit Transactions | Withdrawal Transactions |
|---------------|----------------------|-------------------------|
| NB101 | Combined Report | |
| NB102 | Combined Report | |

NB000 is a combined report for all Routing Gateways.

The format and content of each Client's report is considered to be identical when allowing for these specific requirements. It should therefore be understood that the specifications documented here are applicable to each Client report set (specific Client requirements outside of this reporting specification are not covered within this document).

There must be a separate set of reports to provide for transactions for which the Routing Gateway and/or Transaction Type is not known.

Non-Financial transactions such as Balance Enquiries and PIN changes are explicitly excluded from the report set as 'Not Required by Post Office Ltd.'

No report will mix currency, so if ever the transactions selected to appear on a set of reports are not of a single currency, then a separate set of reports will be produced for each currency. All the amounts in a single transaction will always use the same currency

- NB000: DRS Summary
- NB101: Network Banking Settlement Statement



- NB102: Exception Summary

Rows and columns are described in detail, together with the mathematical calculations required to achieve reconciliation.

All general aspects relating to the production and transmission of the reports are described.

NB: It should be noted that where a system 'State' definition is quoted, this takes precedence over any narrative which has only been provided to help understand the business processes.

3.1 General Banking and Related Services Report Specifications

A separate set of reports is produced for each Post Office Ltd. Client as identified by the Routing Gateway (For example: Santander and POCa being single Clients and Vocalink being split into 2 separate clients). The specification is general to all reports sets.

- For volumes and values the accounting sense for reporting purposes to allow settlement totals to be correctly derived, will be:
 - Absolute volume
 - Net value
 - Where no value reported show "0.00"
 - Where no volume reported show 0
 - -ve values will be shown in parenthesis e.g. (nnn.nn)
- Each report will show the following general information:
 - Report title – including the Client name (derived from Routing Gateway.)
 - Transaction Type – (if applicable)
 - Report 'Run Date' - i.e., the period for which the report refers
 - Production date and time
 - Fujitsu Services report number
 - Fujitsu Services reference
- Where 'Received' and 'Reconciliation Date' are quoted against time parameters:
 - 'Received = New': defines transactions that have had a change of state since they were last reported, or have never been reported, except in NB102 section 6
 - 'Received = Old': defines transactions that have NOT had a change of state since they were last reported
- Reports: NB000, NB101 and NB102 will be produced **DAILY**. They will be delivered by 08.00hrs the day following the Run Date to:
 - Post Office Ltd. Delivered by PODG to POLDG.
 - Fujitsu Services CS, DRS Workstation. A copy of all the reconciliation files is gathered onto the SSC server and extracted via the RDT version of PODG to be delivered automatically to the Security Operations group mailbox
- Within report NB 102 the 'Exceptions' category will include:
 - 'Incomplete States', i.e. those transactions where one or more transaction component is missing – a C4 without a C12 etc.
 - Genuine exceptions where transaction components belonging to the same high level transaction have been exceptioned, e.g. C12 (amount) not = to C4 (amount).
 - Transaction corruptions



6. For clarity within this document, field sizes are shown within 'Report Layout' and not within the 'Data Definition'
7. All reports are run against a consistent data set.
8. All reports will be produced as ASCII text files – one for each report. The report layout will be fixed format with space characters providing the blank space. This will allow for 'Excel' input, using fixed field width facilities. The formatting (lines and shading referred to in this document) will not be included within the file. Every line will have the character 'newline', Hex '0A', as the last character. Each report will have the text "End of Report" as the last line.
9. See also comments in Section 2: 'Scope'

3.2 NB000: Summary Report

This report summarises all reconciliation reports produced by the DRS. It also summarises all reports that were not produced by the DRS because there was no data to report.

3.2.1 NB000: Rules

1. Reports are listed by application type, Routing Gateway, and report number.
2. Where a report is produced, the filename will be shown.
3. Where for a routing gateway, there is some data to report, but for a specific report there is no data, then the filename will be replaced by [NO DATA].

Where for a routing gateway there is no data at all, the application type cannot be shown and the file name will be replaced by [NIL RETURN].



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3.2.1.1 NB000: Report Layout

| | | | | | |
|---------------------------|--|-----------------------|-------------------------------------|--|--|
| NB000: DRS Summary Report | | Client: All | Produced on: dd/mm/yyyy at hh:hh:ss | | |
| Run Date: dd/mm/yyyy | | Transaction Type: All | | | |

| Application Type | Routing Gateway Number | Routing Gateway Name | Report Number | Report Section | Filename |
|------------------|------------------------|----------------------|---------------|----------------|--|
| APP | 9999999999 | 30 character name | NB999 | 99 | appyyyyymmddggggggggggNBnnnssttcur.TXT |

End of Report

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3.2.1.2 NB000: Data Definition

| | | |
|---|------------------------------|--|
| NB000: DRS Summary Report | Client: All | Produced on: (Date / Time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: All | |

| Application Type | Routing Gateway Number | Routing Gateway Name | Report Number | Report Section | Filename |
|---|---|---|---|--|--|
| Application Type (e.g. NBS or DCP). Will be “-“ where no application type is known. Repeated for each application type. | Routing Gateway number. Will be “-“ for reports NB102 sections 6 and 12 as there are single reports for all routing gateways. Repeated for each routing gateway within application type | Routing Gateway name. Will be “ALL CLIENTS“ for reports NB102 sections 6 and 12 as there are single reports for all routing gateways. Shown against each routing gateway number | Shows each report number for each routing gateway | Shows each report section for each report number | <p>Where there is data for a report the filename will be shown:</p> <p>appyyyyymmddgggggggggNBnnsssttcur.TXT, where</p> <ul style="list-style-type: none"> app is a 3 character application code (e.g. Banking and Related Services or DCP) yyyyymmdd is the report run date gggggggggg is the routing gateway number. For reports NB102 Sections 6 and 12 use '0000000ALL' NBnnss is the report number and section ttt is the transaction group. For NB101, and NB102, this will be 'ALL'. cur is the currency e.g. GBP <p>Where for a routing gateway, there is some data to report, but for a specific report there is no data, then show [NO DATA]. Where for a routing gateway there is no data at all (the application type is “-“) show [NIL RETURN].</p> |

End of Report



3.2.2 NB101: Network Banking Settlement Statement

This report identifies 'C4' transactions received against each 'C4 Settlement Date' as reported to the DRS for the most recent processing date. The report will be used by Post Office Ltd. as a basis for settlement of Network Banking transactions with the Financial Institutions (FIs).

3.2.3 NB101: Rules

1. NB101 is run daily
2. NB101 is repeated for each Routing Gateway
3. NB101 will show 'C4' transactions received for ONE day only – breaking these down into individual 'C4 Settlement Dates' There will be one line for each 'C4 Settlement Date'
4. NB101 will show Deposit and Withdrawal transactions in separate columns, (headed 'Receipts' and 'Payments' respectively) derived from 'Txn_Type'
5. NB101 will show a final settlement column derived in the following way:
 - Volume: Volume of Deposits plus Volume of Withdrawals
 - Value: Value of Deposits minus Value of Withdrawals
 - Where the net total is negative i.e. the Value of Withdrawals exceeds the Value of Deposits, the total will be shown as (xxx.xx)
6. Where the volume for a given 'C4 Settlement Date' is nil, the date will not be reported.
7. 'C4 Settlement Dates' are shown in reverse chronological order.
8. Where there is more than one institution with the same Routing Gateway, the values for the institutions will be shown individually provided the transaction volume for that institution is greater than zero.



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3.2.3.1 NB101: Report Layout

| | | |
|---|---|--|
| NB 101: Network Banking Settlement Statement | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| | Receipts | | Payments | | Net Settlement | | Institution Name |
|--------------------|--------------------|-------------------------|--------------------|-------------------------|--------------------|-------------------------|------------------|
| C4 Settlement Date | Volume | Value | Volume | Value | Volume | Value | |
| dd/mm/yyyy | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | X(40) |
| dd/mm/yyyy | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | X(40) |
| Sub Total | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | |
| dd/mm/yyyy | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | X(40) |
| dd/mm/yyyy | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | X(40) |
| Sub Total | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | 99,999,999 | 9,999,999,999.99 | |
| TOTAL | 999,999,999 | 9,999,999,999.99 | 999,999,999 | 9,999,999,999.99 | 999,999,999 | 9,999,999,999.99 | |

End of Report



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3.2.3.2 NB101: Data Definition

| | | |
|---|---|--|
| NB 101: Network Banking Settlement Statement | Client: (Derived from 'Routing Gateway') | Produced on: (Date / Time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| | Receipts | | Payments | | Net Settlement | | Institution Name |
|---|--------------------------|----------------------------|-----------------------------|-------------------------------|---|--|--|
| C4 Settlement Date | Volume | Value | Volume | Value | Volume | Value | |
| Date 1 | No. of C4 Deposits Rec'd | Value of C4 Deposits Rec'd | No. of C4 Withdrawals Rec'd | Value of C4 Withdrawals Rec'd | No. of C4 Deposits Rec'd + No of C4 Withdrawals Rec'd | Value of C4 Deposits Rec'd – Value of C4 Withdrawals Rec'd | Institution within Routing Gateway |
| Date 1 | No. of C4 Deposits Rec'd | Value of C4 Deposits Rec'd | No. of C4 Withdrawals Rec'd | Value of C4 Withdrawals Rec'd | No. of C4 Deposits Rec'd + No of C4 Withdrawals Rec'd | Value of C4 Deposits Rec'd – Value of C4 Withdrawals Rec'd | Institution within Routing Gateway Repeat Date 1 for each Institution with non zero volume. |
| Sub Total | Total for Date 1 | Total for Date 1 | Total for Date 1 | Total for Date 1 | Total for Date 1 | Total for Date 1 | |
| Repeat Date and Sub Total rows for each date that there is data to report. | | | | | | | |
| TOTAL | Total All Dates | Total All Dates | Total All Dates | Total All Dates | Total All Dates | Total All Dates | |

End of Report



3.3 NB102: Exception Summary

This report is divided into twelve sections:

- Section 1: All Uncleared Confirmed & Unconfirmed exceptions
- Section 2: Uncleared Excepted Client Transactions
- Section 3: Uncleared Corruptions
- Section 4: Uncleared Timing Differences
- Section 5: Uncleared Confirmed & Unconfirmed exceptions >24 hours
- Section 6: Uncleared Future Dated Transactions by Client
- Section 7: All Cleared Confirmed & Unconfirmed exceptions
- Section 8: Cleared Excepted Client Transactions
- Section 9: Cleared Corruptions
- Section 10: Cleared Timing Differences
- Section 11: Cleared Confirmed & Unconfirmed exceptions >24 hours
- Section 12: Cleared Future Dated Transactions by Client

Liability issues are not covered within this document, nor are the business processes involved in the resolution of any exception.

3.3.1 NB102: POLSAP Definition

The DRS Reports refer to the POLSAP system that has now been decommissioned. The POLSAP system used to receive its transaction feed from the legacy TPS system and it was the feed of C112 messages from TPS that gave assurance that there was reconciliation with POLSAP.

Neither POLSAP or the C112 messages remain.

3.3.2 NB102: Rules

1. NB102 is run daily
2. NB102 is repeated for each Routing Gateway.
3. For each report section, the column 'Values' will be partitioned into 8 sub columns to contain the values for: 'C12', 'S', 'C4', 'C112' & the four values from a 'D' which are: Amount Requested, Amount Authorised, Amount Confirmed, Amount Discrepancy. The reports will populate all values that are available. Since the C112 message no longer exists, the C112 columns will always be blank.
4. For reporting purposes, within NB102 sections 2, 3, 4, 5, 8, 9, 10 & 11, the column headed 'CAP' will be blank.
5. For reporting purposes within NB102 sections 2, 3, 4, 5, 8, 9, 10, 11, the column headed 'Discrepancy Type' will report the 'D' discrepancy type.

Where no 'D' transaction element has been received, the 'Discrepancy Type' will be blank

6. Where an exception is set to F99 by Fujitsu Services, clearance of this exception within the appropriate NB102 section, (e.g. section 2 exceptions shown as cleared within section 8), will always refer to the previously reported state regardless of any change of state which may have occurred within the DRS.
7. All Uncleared Confirmed & Unconfirmed exceptions are reported as summary totals by exception type - (NB102 section 1)
8. Uncleared Excepted Client Transactions are listed individually - (NB102 section 2)



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9. Uncleared Transaction corruptions exceptions are listed individually - (NB102 section 3)
10. Uncleared Timing Differences are listed individually – (NB102 section 4)
11. Uncleared Confirmed & Unconfirmed exceptions >24 hours are listed individually – (NB102 section 5)
12. Uncleared Future Dated Transactions are reported as summary totals by Client identified by Routing Gateway – (NB102 Section 6)
13. All Cleared Confirmed & Unconfirmed exceptions are reported as summary totals by exception type - (NB102 section 7)
14. Cleared Exceptioned Client Transactions are listed individually – (NB102 section 8)
15. Cleared Transaction corruptions are listed individually – (NB102 section 9)
16. Cleared Timing differences are listed individually – (NB102 section 10)
17. Cleared Confirmed & Unconfirmed exceptions >24 hours are listed individually – (NB102 section 11)
18. Cleared Future Dated Transactions are reported as summary totals by Client identified by Routing Gateway – (NB102 Section 12)
19. Within each section, exception summary totals / individual transactions are to be grouped and sub totalled by reconciliation date with a total provided for the entire section.
20. For reporting purposes:
 - Timing Differences: relate to differences in the reconciliation date / settlement date allocated where a settlement date on a transaction element is not the same as the reconciliation date first reported on that transaction.
 - Future Dated Transactions: relate to those transactions that have a Reconciliation Date ahead of the Run Date of the report. For example, most Clients will settle Friday, Saturday and Sunday transactions on the following Monday. This means that each transaction completed on these days will have a Reconciliation Date ahead of the Run Date of the report. These transactions are expected to clear naturally once the Reconciliation Date and the Run Date are in sync, however in the rare eventuality that a Reconciliation Date is considerably ahead of the Run Date, Post Office Ltd. may wish to clear the exception manually. In this case, Fujitsu Services will set the transaction to 'F99'.
21. For reporting purposes, within NB102 sections 2, 3, 4, 5, 8, 9, 10 and 11 the column headed 'Transaction Type' will be populated by the Txn Type codes as defined in Appendix A - Transaction Types
22. For Banking and Related Services reconciliation reporting, System States 8, 9, 10, 11, 16, 17, 18, 19, 20, 21, 22, 23, E05, E06, E07, E14, E22, E24, E31, E32, E33, E38 are never expected within report NB102 because 'S' transactions are not used in NB.

**3.3.2.1 NB102: Section 1: All Uncleared Confirmed & Unconfirmed exceptions****3.3.2.1.1 NB102: Section 1 - Report Layout**

| | | |
|--|---|--|
| NB102 Section 1: All Uncleared Confirmed, Unconfirmed & POL FS exceptions | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Volume | Values (8 sub columns) |
|-----------------|---------------------|-----------|------------------------|
| State 1 etc | dd/mm/yyyy | 99999999 | 999999999.99 |
| State 2 etc | dd/mm/yyyy | 99999999 | 999999999.99 |
| Sub total | | 999999999 | 999999999.99 |
| State E02 etc | dd/mm/yyyy | 99999999 | 999999999.99 |
| Sub total | | 999999999 | 999999999.99 |
| Total Section 1 | | 999999999 | 999999999.99 |

End of Report



3.3.2.1.2 NB102: Section 1 - Data Definition

Note that POL FS (POLSAP) no longer exists and report columns relating to the associated C112 messages will therefore be blank.

| | | |
|--|--|--|
| NB102 Section 1: All Uncleared Confirmed, Unconfirmed & POL FS exceptions | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Volume | Values (8 sub columns) Value of each transaction component |
|---|---|---|
| One row for each system state where exceptions are being reported grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where no data is being reported against an exception state, the row for that exception state is to be suppressed. Where dates are shown for the same exception type, list in descending order | System State: 1-2, 4-11, 16-18, E01-02 E04-06, E10-12, E14, E20-24, E32, E34 -E36, E38 Received = New + Old Reconciliation date: <= Run Date | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.2 NB102: Section 2: Uncleared Exceptioned Client Transactions

3.3.2.2.1 NB102: Section 2 – Report Layout

| | | |
|---|---|--|
| NB102 Section 2: Uncleared Exceptioned Client Transactions | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 12 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 2 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.2.2 NB102: Section 2 – Data Definition

| | | |
|---|--|---|
| NB102 Section 2: Uncleared Exceptioned Client Transactions | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|---|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each exception, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| System State: 12-15, 20-23, E03, E07 – E09, E13, E25 – E26, E33, E37 Received = New + Old Reconciliation date: <= Run Date | | | | | | | | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.3 NB102: Section 3: Uncleared Corruptions

3.3.2.3.1 NB102: Section 3 – Report Layout

| | | |
|--|---|--|
| NB102 Section 3: Uncleared Corruption's | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8(8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|--------------------------|
| E28 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E29 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E31 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E31 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 3 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.3.2 NB102: Section 3 – Data Definition

| | | |
|---|--|---|
| NB102 Section 3: Uncleared corruption's | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|--|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each corruption, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| System State: E27 –31 Received = New + Old Reconciliation date: <= Run Date | | | | | | | | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.4 NB102: Section 4: Uncleared Timing Differences

3.3.2.4.1 NB102: Section 4 - Report Layout

| | | |
|--|---|--|
| NB102 Section 4: Uncleared Timing Differences | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 4 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.4.2 NB102: Section 4 – Data Definition

| | | |
|---|--|---|
| NB102 Section 4: Uncleared Timing Differences | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|--|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each timing difference, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| System State: E39 Received = New + Old Reconciliation date: <= Run Date | | | | | | | | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.5 NB102: Section 5: Uncleared Confirmed & Unconfirmed exceptions >24 hours

3.3.2.5.1 NB102: Section 5 – Report Layout

| | | |
|---|---|--|
| NB102 Section 5: Uncleared Confirmed, Unconfirmed & POL FS exceptions >24 hours | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| State 1 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 2 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 6 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| State 6 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 5 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.5.2 NB102: Section 5 – Data Definition

Note that POL FS (POLSAP) no longer exists and report columns relating to the associated C112 messages will therefore be blank.

| | | |
|---|--|---|
| NB102 Section 5: Uncleared Confirmed, Unconfirmed & POL FS exceptions >24 hours | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|---|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each exception, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| System State: 1, 2, 4-11, 16-18, E01-02 E04-06, E10-12, E14, E20-24, E32, E34 -E36, E38 Received = Old Reconciliation date: < Run Date (i.e. where transaction components have remained in the above incomplete states for >24 hours) | | | | | | | | |

End of Report



3.3.2.6 NB102: Section 6: Uncleared Future Dated Transactions by Client

3.3.2.6.1 NB102: Section 6 - Report Layout

| | | |
|---|---|---|
| NB102 Section 6: Uncleared Future Dated Transactions by Client | All Clients | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Client | Reconciliation Date | Volume | Values (8 sub columns) |
|------------------|---------------------|------------|------------------------|
| LINK | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Lloyds | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Total Section 11 | | 9999999999 | 9999999999.99 |

End of Report

3.3.2.6.2 NB102: Section 6 - Data Definition

| | | |
|---|---|---|
| NB102 Section 6: Uncleared Future Dated Transactions by Client | All Clients | Produced on: (Date and time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Client / Reconciliation Date | Volume | Values (8 sub columns) |
|---|--|------------------------|
| One row for each Client derived from Routing Gateway where transactions have been received with a reconciliation date ahead of the run date. Where dates are shown for the same exception type, list in descending order. | System State: All States excluding F99 Reconciliation date: > Run Date | |

End of Report



3.3.2.7 NB102: Section 7: All Cleared Confirmed & Unconfirmed exceptions

3.3.2.7.1 NB102: Section 7 – Report Layout

| | | |
|--|---|--|
| NB102 Section 7: All Cleared Confirmed, Unconfirmed & POL FS exceptions | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Volume | Values (8 sub columns) |
|-----------------|---------------------|-----------|------------------------|
| State 1 etc | dd/mm/yyyy | 99999999 | 9999999999.99 |
| State 2 etc | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Sub total | | 999999999 | 9999999999.99 |
| State E02 etc | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Sub total | | 999999999 | 9999999999.99 |
| Total Section 1 | | 999999999 | 9999999999.99 |

End of Report

3.3.2.7.2 NB102: Section 7 - Data Definition

Note that POL FS (POLSAP) no longer exists and report columns relating to the associated C112 messages will therefore be blank.

| | | |
|--|--|--|
| NB102 Section 7: All Cleared Confirmed, Unconfirmed & POL FS exceptions | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Volume | Values (8 sub columns) Value of each transaction component |
|--|---|---|
| One row for each system state where exceptions are being reported grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where no data is being reported against an exception state, the row for that exception state is to be suppressed. Where dates are shown for the same exception type, list in descending order. | For last reported System States: 1-2, 4-11, 16-18, E01-02, E04-06, E10-12, E14, E20-24, E32, E34 -36, E38 Set to F99: New Reconciliation date: <= Run Date | |

End of Report



End to End Reconciliation Reporting
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3.3.2.8 NB102: Section 8: Cleared Exceptioned Client Transactions

3.3.2.8.1 NB102: Section 8 – Report Layout

| | | |
|---|---|--|
| NB102 Section 8: Cleared Exceptioned Client Transactions | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 12 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E13 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 8 | | | | | | | | | 9999999999.99 |

End of Report



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3.3.2.8.2 NB102: Section 8 – Data Definition

| | | |
|---|--|---|
| NB102 Section 8: Cleared Exceptioned Client Transactions | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|---|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each exception, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| For last reported System States: 12-15, 20-23, E03, E07 – E09, E13, E25 – E26, E33, E37 Set to F99: New Reconciliation date: <= Run Date | | | | | | | | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.9 NB102: Section 9: Cleared Corruptions

3.3.2.9.1 NB102: Section 9 – Report Layout

| | | |
|--|---|--|
| NB102 Section 9: Cleared Corruption's | Client: LINK | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|-----------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| E28 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E29 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 31 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E31 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 9 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.9.2 NB102: Section 9 – Data Definition

| | | |
|---|--|---|
| NB102 Section 9: Cleared corruption's | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|--|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each corruption, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| For last reported System States: E27 –31 Set to F99: New Reconciliation Date: <= Run Date | | | | | | | | |

End of Report



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3.3.2.10 NB102: Section 10: Cleared Timing Differences

3.3.2.10.1 NB102: Section 10 - Report Layout

| | | |
|---|---|--|
| NB102 Section 10: Cleared Timing Differences | Client: LINK | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliati on Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|------------------|-------------------------|-------------------|---------------------|-------------|--------------|--------------|-----|---------------------|---------------------------|
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| E39 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 10 | | | | | | | | | 9999999999.99 |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.10.2 NB102: Section 10 – Data Definition

| | | |
|---|--|---|
| NB102 Section 10: Cleared Timing Differences | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|--|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each timing difference, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| For last reported System States: E39 Set to F99: New Reconciliation Date: <= Run Date | | | | | | | | |

End of Report



End to End Reconciliation Reporting
FUJITSU RESTRICTED (COMMERCIAL IN CONFIDENCE)



3.3.2.11 NB102: Section 11: Cleared Confirmed & Unconfirmed exceptions > 24 hours

3.3.2.11.1 NB102: Section 11 – Report Layout

| | | |
|---|---|--|
| NB102 Section 11: Cleared Confirmed, Unconfirmed & POL FS exceptions > 24 hours | Client: NBX Link Interchange Network | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Exception Type | Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|------------------|---------------------|----------------|------------------|-------------|--------------|--------------|-----|------------------|------------------------|
| State 1 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 2 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| State 6 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| State 6 | dd/mm/yyyy | Char (32) | Code (99) | Char (6) | dd/mm/yyyy | hh:mm:ss | | Code (99) | 9999999999.99 |
| Sub Total | | | | | | | | | 9999999999.99 |
| Total Section 11 | | | | | | | | | 9999999999.99 |

End of Report



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3.3.2.11.2 NB102: Section 11 – Data Definition

Note that POL FS (POLSAP) no longer exists and report columns relating to the associated C112 messages will therefore be blank.

| | | |
|---|--|---|
| NB102 Section 11: Cleared Confirmed, Unconfirmed & POL FS exceptions > 24 hours | Client: (Derived from Routing Gateway.) | Produced on: (Date and time of production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Exception Type / Reconciliation Date | Horizon Txn Id | Transaction Type | Bank Txn Id | Receipt Date | Receipt Time | CAP | Discrepancy Type | Values (8 sub columns) |
|--|-----------------|------------------|---------------------|--------------------------|--------------------------|-----|--------------------------|-------------------------------------|
| One row for each exception, with specific system states listed in order, grouped within reconciliation date with a sub total for each reconciliation date and a grand total for the section. Where dates are shown for the same exception type, list in descending order. | Horizon_Txn_Num | Txn_Type | Bank_Transaction_Id | Receipt_Transaction_Date | Receipt_Transaction_Time | | Discrepancy_Reason_Codes | Value of each transaction component |
| For last reported System States: 1, 2, 4, 5, 6, 7, 8, 9, 10, 11, 16, 17, 18, E01-02 E04-06, E10-12, E14, E20-24, E32, E34 -E36, E38 Set to F99: New Transactions that were included on report NB102 Section 5 on 'yesterday's' reports and are not included in NB102 Section 5 on 'today's' reports." | | | | | | | | |

End of Report



3.3.2.12 NB102: Section 12: Cleared Future Dated Transactions by Client

3.3.2.12.1 NB102: Section 12 - Report Layout

| | | |
|--|---|---|
| NB102 Section 12: Cleared Future Dated Transactions by Client | All Clients | Produced on: dd/mm/yyyy at hh:mm:ss |
| Run Date: dd/mm/yyyy | Transaction Type: Deposit & Withdrawal | Currency: GBP |

| Client | Reconciliation Date | Volume | Values (8 sub columns) |
|------------------|---------------------|------------|------------------------|
| LINK | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Lloyds | dd/mm/yyyy | 99999999 | 9999999999.99 |
| Total Section 11 | | 9999999999 | 9999999999.99 |

End of Report

3.3.2.12.2 NB102: Section 12 - Data Definition

| | | |
|--|---|---|
| NB102 Section 12: Cleared Future Dated Transactions by Client | All Clients | Produced on: (Date and time of report production) |
| Run Date: (The period for which the report refers) | Transaction Type: Deposit & Withdrawal | Currency: (Derived from 'currency') |

| Client / Reconciliation Date | Volume | Values (8 sub columns) |
|---|---|------------------------|
| One row for each Client derived from Routing Gateway where transactions have been received with a reconciliation date ahead of the run date. Where dates are shown for the same exception type, list in descending order. | System State: All States All transactions that were included on report NB102 Section 6 on 'yesterday's' reports and are not included in NB102 Section 6 on 'today's' reports. | |

End of Report



3.3.2.13 Incomplete and Discrepancy State: Report Matrix

This table identifies the NB102 series report section where incomplete and discrepancy States are reported in detail.

| Incomplete State | Transaction Components | | | | | Exception report NB102 Section | |
|------------------|------------------------|-----|--------|---|--------|--------------------------------|---------|
| | C112 | C12 | C4 | S | D | Uncleared | Cleared |
| 1 | | √ | | | | 1 & 5 | 7 & 11 |
| 2 | | √ | √ | | | 1 & 5 | 7 & 11 |
| 4 | | | √ | | | 1 & 5 | 7 & 11 |
| 5 | √ | | | | | 1 & 5 | 7 & 11 |
| 6 | √ | √ | | | | 1 & 5 | 7 & 11 |
| 7 | √ | | √ | | | 1 & 5 | 7 & 11 |
| 8 | | | | √ | | 1 & 5 | 7 & 11 |
| 9 | √ | | | √ | | 1 & 5 | 7 & 11 |
| 10 | | √ | | √ | | 1 & 5 | 7 & 11 |
| 11 | √ | √ | | √ | | 1 & 5 | 7 & 11 |
| 12 | | | | | √ | 2 | 8 |
| 13 | | √ | | | √ | 2 | 8 |
| 14 | √ | | | | √ | 2 | 8 |
| 15 | | √ | | | √ | 2 | 8 |
| 16 | | | Then √ | √ | | 1 & 5 | 7 & 11 |
| 17 | √ | | Then √ | √ | | 1 & 5 | 7 & 11 |
| 18 | | √ | Then √ | √ | | 1 & 5 | 7 & 11 |
| 20 | | | | √ | Then √ | 2 | 8 |
| 21 | √ | | | √ | Then √ | 2 | 8 |
| 22 | | √ | | √ | Then √ | 2 | 8 |
| 23 | √ | √ | | √ | Then √ | 2 | 8 |

Grey shaded lines represent System States not expected to be reported within the Banking and Related Services report set.

Green shaded lines are not expected to be reported in the absence of C112 Messages



3.3.1.14 Exception State: Report Matrix

This table identifies the NB102 series report section where an exception is reported in detail.

| Exception State | Description | Exception report NB102 Section | |
|-----------------|---------------------------------------|--------------------------------|---------|
| | | Uncleared | Cleared |
| E01 | Unexpected C112 | 1 & 5 | 7 & 11 |
| E02 | Additional C12 | 1 & 5 | 7 & 11 |
| E03 | Additional D | 2 | 8 |
| E04 | Additional C4 | 1 & 5 | 7 & 11 |
| E05 | Additional S | 1 & 5 | 7 & 11 |
| E06 | S after C4 | 1 & 5 | 7 & 11 |
| E07 | S after D | 2 | 8 |
| E08 | C4 after D | 2 | 8 |
| E09 | D after C4 | 2 | 8 |
| E11 | C12 after final state | 1 & 5 | 7 & 11 |
| E12 | C4 after final state | 1 & 5 | 7 & 11 |
| E13 | D after final state | 2 | 8 |
| E14 | S after final state | 1 & 5 | 7 & 11 |
| E15 | Not Used | | |
| E16 | Not Used | | |
| E17 | Not Used | | |
| E18 | Not Used | | |
| E19 | Not Used | | |
| E23 | Amount of C12#C4 | 1 & 5 | 7 & 11 |
| E24 | Amount of C12#S & C12#0 | 1 & 5 | 7 & 11 |
| E26 | Amount of C12#D | 2 | 8 |
| E28 | Incomplete/corrupt C12 | 3 | 9 |
| E29 | Incomplete/corrupt C4 | 3 | 9 |
| E30 | Incomplete/corrupt D | 3 | 9 |
| E31 | Incomplete/corrupt S | 3 | 9 |
| E32 | Amount of C4#S & C4#0 | 1 & 5 | 7 & 11 |
| E33 | Amount of D#S | 2 | 8 |
| E35 | C12 arrived after state F99 | 1 & 5 | 7 & 11 |
| E36 | C4 arrived after state F99 | 1 & 5 | 7 & 11 |
| E37 | D arrived after state F99 | 2 | 8 |
| E38 | S arrived after state F99 | 1 & 5 | 7 & 11 |
| E39 | Settlement Date # Reconciliation Date | 4 | 10 |

Shaded lines represent System States not expected to be reported within the Network Banking report set

3.4 System States

The removal of TPS from the Legacy landscape also removed the need for the C112 message to be reconciled with DRS. The removal of the C112 removed intermediate states '2', '13', '18' and '22' and made states '5', '6', '7', '9', '11', '14', '17', '21' and '23' unattainable since these all required the presence of a C112. These latter states are shown in grey in the following table. In addition, various error states have become 'Not used' since these are related to values in C112 messages not equating to values in other message parts.



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| | | | | | When | | | | | | | | |
|------------------------------------|--------------|---------------------------------------|-----------|------|----------------------------|-----|-----|-----|-----|-----------------|---------------|-------------------------|----------------------------|
| | | | | | C112 | C12 | C4 | D | S | | | | |
| Pre-validation | | | | | Arrives, then Pre-validate | | | | | | | | |
| On Incomplete/Corrupt error go to: | | | | | E27 | E28 | E29 | E30 | E31 | | | | |
| State | No C112 =N/A | Conf Agent | NBE/Pymnt | | When valid, go to state | | | | | Amount:Action | Amount:Action | Settlement Date:Action | MSU Action Set State to |
| Start | 0 | | | | E01 | 1 | 4 | 12 | 8 | - | - | - | - |
| | 1 | | C12 | | E01 | E02 | 3 | 15 | 10 | - | - | - | F99 |
| Final | 3 | | C12 | C4 | E01 | E11 | E12 | E13 | E14 | C12#C4:E23 | - | C4 Settle Dt#Rec Dt:E39 | - |
| | 4 | | | C4 | E01 | 3 | E04 | E09 | E06 | - | - | - | F99 |
| | 5 | N/A | | | E01 | 6 | 7 | 14 | 9 | - | - | - | - |
| | 6 | N/A | | | E01 | E02 | 3 | 15 | 11 | - | - | - | - |
| | 7 | N/A | | | E01 | 3 | E04 | E09 | E06 | - | - | - | - |
| | 8 | | | S | E01 | 10 | 16 | 20 | E05 | - | - | - | F99 |
| | 9 | N/A | | | E01 | 11 | 17 | 21 | E05 | - | - | - | - |
| | 10 | | C12 | S | E01 | E02 | 19 | 23 | E05 | C12#S&C12#0:E24 | - | C4 Settle Dt#Rec Dt:E39 | F99 |
| | 11 | N/A | | | E01 | E02 | 19 | 23 | E05 | - | - | - | - |
| | 12 | | | D | E01 | 15 | E08 | E09 | E07 | - | - | - | F99 |
| | 14 | N/A | | | E01 | 15 | E08 | E09 | E07 | - | - | - | - |
| | 15 | | C12 | D | E01 | E02 | E08 | E09 | E07 | C12#D:E26 | - | C4 Settle Dt#Rec Dt:E39 | F99 |
| | 16 | | | S/C4 | E01 | 19 | E04 | E09 | E05 | - | - | C4 Settle Dt#Rec Dt:E39 | F99 |
| | 17 | N/A | | | E01 | 19 | E04 | E09 | E05 | - | - | - | - |
| Final | 19 | | C12 | S/C4 | E01 | E11 | E12 | E13 | E14 | C12#C4:E23 | C4#S&C4#0:E32 | C4 Settle Dt#Rec Dt:E39 | F99 |
| | 20 | | | S/D | E01 | 23 | E08 | E03 | E05 | D#S:E33 | - | C4 Settle Dt#Rec Dt:E39 | F99 |
| | 21 | N/A | | | E01 | 23 | E08 | E03 | E05 | - | - | - | - |
| | 23 | N/A | | | E01 | E02 | E08 | E03 | E05 | - | - | - | - |
| Exception States | | | | | | | | | | | | | |
| | E01 | Unexpected C112 | | | - | - | - | - | - | - | - | - | F99 |
| | E02 | Additional C12 | | | - | - | - | - | - | - | - | - | F99 |
| | E03 | Additional D | | | - | - | - | - | - | - | - | - | F99 |
| | E04 | Additional C4 | | | - | - | - | - | - | - | - | - | F99 |
| | E05 | Additional S | | | - | - | - | - | - | - | - | - | F99 |
| | E06 | S after C4 | | | - | - | - | - | - | - | - | - | F99 |
| | E07 | S after D | | | - | - | - | - | - | - | - | - | F99 |
| | E08 | C4 after D | | | - | - | - | - | - | - | - | - | F99 |
| | E09 | D after C4 | | | - | - | - | - | - | - | - | - | F99 |
| | E10 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E11 | C12 after final state | | | - | - | - | - | - | - | - | - | F99 |
| | E12 | C4 after final state | | | - | - | - | - | - | - | - | - | F99 |
| | E13 | D after final state | | | - | - | - | - | - | - | - | - | F99 |
| | E14 | S after final state | | | - | - | - | - | - | - | - | - | F99 |
| | E15 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E16 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E17 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E18 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E19 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E20 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E21 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E22 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E23 | Amount of C12#C4 | | | - | - | - | - | - | - | - | - | F99 |
| | E24 | Amount of C12#S & C12#0 | | | - | - | - | - | - | - | - | - | F99 |
| | E25 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E26 | Amount of C12#D | | | - | - | - | - | - | - | - | - | F99 |
| | E27 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E28 | Incomplete/Corrupt C12 | | | - | - | - | - | - | - | - | - | F99 |
| | E29 | Incomplete/Corrupt C4 | | | - | - | - | - | - | - | - | - | F99 |
| | E30 | Incomplete/Corrupt D | | | - | - | - | - | - | - | - | - | F99 |
| | E31 | Incomplete/Corrupt S | | | - | - | - | - | - | - | - | - | F99 |
| | E32 | Amount of C4#S & C4#0 | | | - | - | - | - | - | - | - | - | F99 |
| | E33 | Amount of D#S | | | - | - | - | - | - | - | - | - | F99 |
| | E34 | Not used | | | - | - | - | - | - | - | - | - | - |
| | E35 | C12 arrived after state F99 | | | - | - | - | - | - | - | - | - | F99 |
| | E36 | C4 arrived after state F99 | | | - | - | - | - | - | - | - | - | F99 |
| | E37 | D arrived after state F99 | | | - | - | - | - | - | - | - | - | F99 |
| | E38 | S arrived after state F99 | | | - | - | - | - | - | - | - | - | F99 |
| | E39 | Settlement Date # Reconciliation Date | | | - | - | - | - | - | - | - | - | F99 |
| Final | F99 | MSU Resolved | | | E01 | E35 | E36 | E37 | E38 | - | - | - | - |



4 APS Reconciliation

On-line Counter, SSK and HiH Automated Payment transactions are committed to the Branch Database during the customer session settlement process at the same time that the Auditable Journal records are written. The Journal Records contain a dense set of Journal Sequence Numbers that prove no transaction is missing or duplicated.

Offline/External Automated Payment transactions (such as Paystation transactions) are delivered in file(s) via PODG on a daily basis. These files contain a sub-file for each Branch that contain value and volume totals that ensure the integrity of the data contained therein. These are validated during the transaction posting process to ensure no data loss or duplication.

The AP transactions from all data-sources are copied to a dedicated APS data store where they are held pending their delivery to the AP Client via AP Client Files.

Note 1: AP Transactions that are mapped to Client Account No "9999" do not get delivered to any Client.

Note 2: AP transaction performed on certain devices (Such as Payzone) do not get delivered to any Client.

At the end of each Trading Day, all transactions for the day are validated and any transactions failing validation will be moved to a Quarantine area. Validation failures include the following scenarios:

Invalid Negative Value

Negative values are only allowed for reversal records or if the Delivery Agreement indicates that the transaction is an Out-payment transaction.

Unmatched Reversal

This is a reversal record where the corresponding original transaction is not present on the current Trading Day. This may occur in certain counter recovery scenarios.

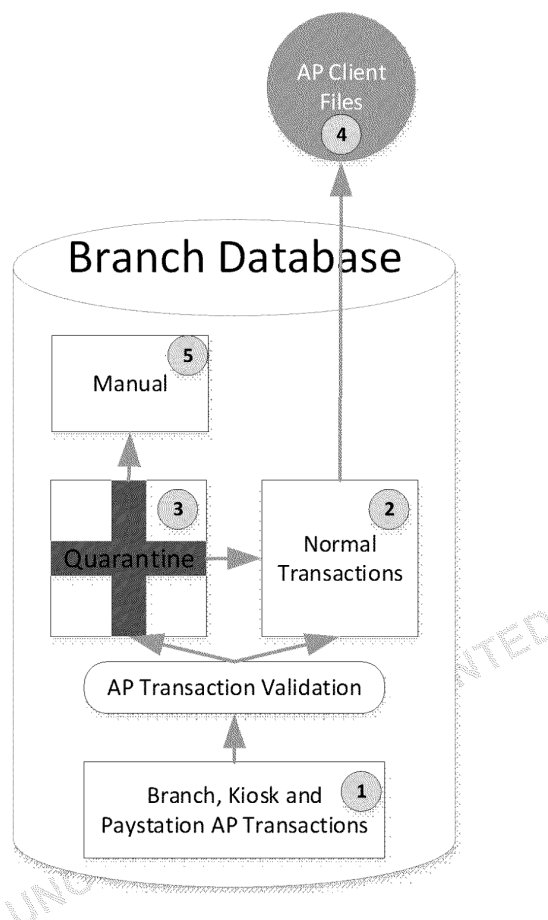
Missing Delivery Agreement

Delivery Agreement reference data controls the creation of AP Client Files. If the data is missing for any client then the transactions cannot be delivered and will be quarantined.

Duplicate Transaction

Duplicate transactions are not expected and can only occur in the case of system bugs.

Quarantined transactions can be viewed and processed using a Graphical User Interface available to Fujitsu's third-line support team. Where transactions were quarantined due to missing or invalid reference data then the transactions can be moved back into the main processing stream once that reference data has been corrected. These transactions will then be delivered to the AP Client. In the case of an unmatched reversal, since the original transaction will almost certainly have been sent to the AP Client then a manual activity must be in place to inform the AP Client that the payment has been reversed. The transaction will then be archived as "manually processed"



Automated Payment Transaction Data Flows

Reconciliation is performed by ensuring that all transactions received at (1) are eventually delivered to either (4) or (5) in the diagram above.



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4.1 APS Reconciliation Report

This can be represented in a single report as follows:

| | | Transaction Totals for Trading Date | | | | | | | Outstanding Balances | | |
|--------------|-------------|-------------------------------------|------------------|--------------|-------------|----------------|----------|-----------|----------------------|------------|-------------|
| | | BRDB | Delivered to APS | | | Delivered | | | | | |
| Trading Date | Brought Fwd | Transacted ① | Normal ② | Quarantine ③ | Discrepancy | Client Files ④ | Manual ⑤ | Carry Fwd | Normal | Quarantine | Discrepancy |
| 01/06/2019 | 0.00 | 25,000.00 | 23,000.00 | 2,000.00 | 0.00 | 20,000.00 | 0.00 | 5,000.00 | 3,000.00 | 2,000.00 | 0.00 |
| 02/06/2019 | 5,000.00 | 30,000.00 | 29,000.00 | 1,000.00 | 0.00 | 25,000.00 | 1,000.00 | 9,000.00 | 7,000.00 | 2,000.00 | 0.00 |
| 03/06/2019 | 9,000.00 | 20,000.00 | 20,000.00 | 0.00 | 0.00 | 25,000.00 | 1,000.00 | 3,000.00 | 2,000.00 | 1,000.00 | 0.00 |
| 04/06/2019 | 3,000.00 | | | | | | | | | | |
| 05/06/2019 | | | | | | | | | | | |
| 06/06/2019 | | | | | | | | | | | |
| 07/06/2019 | | | | | | | | | | | |
| 08/06/2019 | | | | | | | | | | | |
| 09/06/2019 | | | | | | | | | | | |

Report aps_reconciliationyyyyymmdd.txt

Where

the first discrepancy is ① minus ② minus ③

the second discrepancy is Carry Fwd minus Normal Balance minus Quarantine Balance



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Transaction Totals for Current Trading Date

| Column | Type | Description |
|-----------------|--------------|--|
| Trading Date | Date | The Trading Date for which these totals apply |
| Brought Fwd | Number(12,2) | Taken from the Carry Fwd recorded from yesterday's reconciliation |
| BRDB Transacted | Number(12,2) | The total value of APS transactions performed on the current Trading Date. Ie: the total value at ① today. (see Note 1) |
| Normal | Number(12,2) | The total value of valid AP Transactions for the current Trading Date. Ie: the total value at ② today |
| Quarantine | Number(12,2) | The total value of transactions in Quarantine for the current Trading Date. Ie: the total value at ③ today |
| Client Files | Number(12,2) | The total value of transactions delivered in Client Files on the current Trading Date. Ie: the total value at ④ today |
| Manual | Number(12,2) | The total value of transactions manually processed on the current Trading Date. Ie: the total value at ⑤ today |

Outstanding Balances

| Column | Type | Description |
|------------|--------------|--|
| Carry Fwd | Number(12,2) | Equals Brought Fwd + BRDB Transacted – Client Files - Manual |
| Normal | Number(12,2) | The sum of all non-delivered validated APS transactions. Ie: the total amount outstanding in ③ |
| Quarantine | Number(12,2) | The sum of all outstanding APS transactions in |

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| | | |
|--|--|---|
| | | Quarantine. Ie: the total amount outstanding in ④ |
|--|--|---|

Note 1: The BRDB Transacted value will not include transactions for Client Account = "9999" or those transactions where the Device Type signifies that the transactions are not to be delivered.

Note 2: The Value delivered in Client Files is summed-up during the client file creation as each record is written to the file. The total for each file is recorded in the file audit trail. Some client files include the reversals and reversed transactions and some do not. Either way, these transactions balance-out in the calculated totals. This is not the case for File-type "BT" that includes the reversed transaction only and these have to be taken into account during the calculation of the Client Files value.

The discrepancy columns should always be zero and an automated alert will be raised if ever this is not the case. The alert will cause an incident to be raised for investigation.

In addition to reporting transaction values, the Branch Database also records transaction counts and raises an alert if the transaction counts do not tally. The counts can be reported using HORIce.

When adding up transaction counts, the transacted reversals are added as positive values. However, in many cases, reversals and their reversed counterparts are not delivered to the AP Client. This is a recognised scenario during the production of a Client File and Reversed/Reversing transactions that are withheld from the Client Files are updated to status "Reversed (Not delivered)". During the reconciliation of transaction counts, these transactions are counted as being dealt with appropriately (ie: seen, understood and marked as processed).

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4.2 APS Quarantined/Exceptioned Transaction Report

Transactions that failed validation and were placed in Quarantine are reported daily in the following form.

| Client Acc No | Client Account Name | Item Id | FAD Code | Txn Timestamp | Customer Reference | Receipt Ref | Receipt Serial No | Reversing Receipt Ref | Reversing Serial No | Value | Reason |
|---------------|-----------------------------|---------|----------|------------------|----------------------|-------------|-------------------|-----------------------|---------------------|---------|------------------------|
| 3094 | United Utilities Water | 36398 | 4025113 | 01/11/2018 21:09 | 63314030041988800000 | 68001 | 5470 | | | 1500.00 | No Delivery Agreement |
| 3094 | United Utilities Water | 36398 | 4025113 | 01/11/2018 21:17 | 63314030041988900000 | 67001 | 8767 | | | 1500.00 | No Delivery Agreement |
| 3094 | United Utilities Water | 36398 | 4025113 | 01/11/2018 21:33 | 63314030041988600000 | 67001 | 8772 | | | 1500.00 | No Delivery Agreement |
| 3131 | Parcelforce Contract Accept | 39192 | 548324 | 07/11/2018 14:45 | 9826935101260 | 7016 | 1978 | | | -1.00 | Invalid Negative Value |
| 3131 | Parcelforce Contract Accept | 39192 | 548324 | 07/11/2018 14:45 | ED808529215GB | 7019 | 1978 | | | -1.00 | Invalid Negative Value |
| 3131 | Parcelforce Contract Accept | 39192 | 548324 | 07/11/2018 14:45 | 9826935101260 | 7020 | 1978 | | | -1.00 | Invalid Negative Value |
| 3147 | Royal Mail | 45342 | 2307049 | 30/10/2018 10:23 | 01Label=1~QRC | 2001 | 6671 | 2001 | 6673 | 0.00 | No Delivery Agreement |
| 3147 | Royal Mail | 41637 | 548324 | 07/11/2018 14:43 | XC422747100GB | 7004 | 1978 | | | 0.00 | No Delivery Agreement |
| 3147 | Royal Mail | 41637 | 548324 | 07/11/2018 14:44 | LU422747100GB | 7008 | 1978 | | | 0.00 | No Delivery Agreement |
| 3240 | Welsh Water | 43747 | 4025113 | 02/11/2018 10:11 | 6331428366843200000 | 78001 | 4316 | | | -102.00 | Unmatched Reversal |

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Reconciliation for external transactions is the responsibility of P&BA not the Post Office Account Reconciliation Service. However, in the event that entries persist on the report the Post Office Account Reconciliation Service will contact P&BA to ask for these files to be cleared.

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A Transaction Types

The following banking and payment card transaction types are supported:

| Txn Type | Description | Accounting Description | Accounting Sign | Application |
|----------|------------------------------------|------------------------|-----------------|-------------|
| 3 | Withdrawal (with PIN) | WITHDRAWALS | -1 | NBS |
| 4 | Withdrawal with balance (with PIN) | WITHDRAWALS | -1 | NBS |
| 5 | Withdraw all(with PIN) | WITHDRAWALS | -1 | NBS |
| 8 | Withdrawal Correction | DEPOSITS | 1 | NBS |
| 13 | Withdrawal (with Signature) | WITHDRAWALS | -1 | NBS |
| | Withdrawal with balance (with | | | |
| 14 | Signature) | WITHDRAWALS | -1 | NBS |
| 15 | Withdraw all (with Signature) | WITHDRAWALS | -1 | NBS |
| 22 | Deposit (No verification) | DEPOSITS | 1 | NBS |
| 27 | NSI Cheque Deposit | DEPOSITS | 1 | NBS |
| 41 | Purchase (With signature) | DEPOSITS | 1 | DCS |
| 42 | Refund (With signature) | WITHDRAWALS | -1 | DCS |
| 43 | Cash Purchase(with Signature) | DEPOSITS | 1 | DCS |
| 51 | ETU Purchase | DEPOSITS | 1 | ETS |
| 52 | ETU Refund | WITHDRAWALS | -1 | ETS |
| 62 | Deposit | DEPOSITS | 1 | NBS |
| 63 | Withdrawal | WITHDRAWALS | -1 | NBS |
| 64 | Withdrawal with balance | WITHDRAWALS | -1 | NBS |
| 65 | Withdraw Limit | WITHDRAWALS | -1 | NBS |
| 67 | Cheque Deposit | DEPOSITS | 1 | NBS |
| 68 | Withdrawal Correction ICC | DEPOSITS | 1 | NBS |
| 71 | Purchase | DEPOSITS | 1 | DCS |
| 72 | Refund | WITHDRAWALS | -1 | DCS |
| 73 | Cash Purchase(with EMV) | DEPOSITS | 1 | DCS |