



Horizon Core Audit Process

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Exec Summary

- The Horizon Application has been designed to ensure that accurate and auditable records are kept of all sub-postmaster transactions
- When a transaction is conducted at a counter, an auditable mechanism has been built in to ensure these transactions are taken from the counter, stored in the Horizon main branch database and then copied to an audit database
- This mechanism can be considered a 'closed loop' where information is securely exchanged from the counter to the Horizon branch database and then on to the audit database
- Whilst copies of transaction data are provided to numerous external systems from the main Horizon database, the Core Audit Process is segregated, its records are security sealed and audit records cannot be accessed or changed through external interfaces
- Given the nature of the design, the Core Audit Process is fundamental to any examination of the integrity of transaction data
- A number of 'assertions' can be independently examined to test the robustness of the audit process
- N.B. Where necessary any external systems will need to be examined and compared to the Core Audit Data on a needs basis dependant on individual cases